

VERIFICATION FORM (BLACK PEOPLE)

This is the Verification Form (Black People) to be completed for purposes of the BEE Verification Process in respect of the Standard Trading Process, the Own-Broker Trading Process and the Off-Market Transfers Process.

INSTRUCTIONS

- 1.1 Fill in and complete this Verification Form (this "**Form**") in CAPITAL LETTERS and black ink.
- 1.2 Specific meanings (definitions) have been given to some words and phrases in this Form. These words and phrases start with a capital letter. The only defined words that do not always have a capital letter are the words "**you**", "**we**" and "**us**". A list of defined words and phrases, and also the meanings we have given them, is contained in Annexure 1 (Interpretation and Definitions) to the Trading Terms and Conditions. This list of definitions is available on the Shareholder Platform Website or can be requested from the Call Centre. Sometimes the definitions of a word or phrase can also be found in the body of this Form (in the same way that we have defined this Verification Form (Black People) as this "**Form**" in paragraph 1 above). The words and phrases that have been defined will have the same meaning wherever they are used in this Form.
- 1.3 If you make changes or corrections to the information that you fill or complete in this Form, you must put your full signature next to the changes and corrections.
- 1.4 When you submit this Form to YeboYethu, you must also submit the appropriate Supporting Documents listed in Annexure 3 of the Trading Terms and Conditions.
- 1.5 You can download and print this Form from the Shareholder Platform Website or you may call the Call Centre (during Business Hours) and request that a copy be sent to you. You must complete this Form manually.
- 1.6 You must submit this Form (properly completed and signed), together with the Supporting Documents, to YeboYethu by email or fax or post or delivered by hand. The email, fax, post and hand delivery details are:

Physical Address:

Link Market Services (Pty) Ltd
13th Floor Rennie House
19 Amenshoff Sreet
Braamfontein 2001

OR

Velocity Trade Financial Services (Pty) Ltd
1st Floor
200 Main Road
Claremont
Cape Town 7708;
Fax: +27 86 249 1030; and
Email: support@yeboyethushares.co.za.

- 1.7 Any Supporting Documents delivered by hand or by post must be originals or certified copies.
- 1.8 Any Supporting Documents sent by email, fax or other electronic means must be certified copies.
- 1.9 Any Supporting Documents sent by fax or other electronic means, must be sent with a fax cover sheet which includes the information set out in Schedule 2 attached to this Form.
- 1.10 Copies can, for example, be certified at any South African Police Station.
- 1.11 If you have any questions regarding the contents of this Form, please contact the Call Centre.

AGREEMENT TO THE TRADING TERMS AND CONDITIONS, PRIVACY POLICY AND THE IMPORTANT DISCLOSURES CONTAINED IN THE APPLICABLE TRADING TERMS AND CONDITIONS

You must agree to the applicable Trading Terms and Conditions, Privacy Policy and important disclosures contained in the applicable Trading Terms and Conditions in respect of the method of transacting which you have selected.

If you are applying to YeboYethu for BEE Verification, then you need to, as part of the BEE Verification process, agree to the applicable Trading Terms and Conditions, Privacy Policy and the important disclosures contained in the applicable Trading Terms and Conditions by completing and signing this Form.

When you complete and sign this Form, you are confirming and agreeing that you have read, understand and agree to the applicable Trading Terms and Conditions, Privacy Policy and the important disclosures contained in the applicable Trading Terms and Conditions.

It is important that you read and understand the applicable Trading Terms and Conditions, Privacy Policy and important disclosures contained in the applicable Trading Terms and Conditions. If you have not got a copy of the Trading Terms and Conditions, the Privacy Policy or the applicable Trading Terms and Conditions, you can get a copy:

- on the Shareholder Platform Website;
- by asking through the Call Centre; or
- by asking in an e-mail to support@yeboyethushares.co.za.

SIGNATURE SECTION

By signing below:

- you agree that YeboYethu is allowed to verify (check) your details (including asking other people and companies) and you will also help YeboYethu to do this when we ask for your help;
- you confirm that you have read, understand and agree to the applicable Trading Terms and Conditions and Privacy Policy; and
- you are aware of, understand and agree to the limits, exclusions, liabilities, risks and promises in the applicable Trading Terms and Conditions.

Signature:		Day	Month	Year
Name:				
Capacity/office held:	<p>If you are signing this Form on behalf of a Black Person, please indicate which capacity, for example, a legal guardian or parent of a Minor or in any other representative capacity identified in the Supporting Documents listed in Annexure 3 of the Trading Terms and Conditions ('Representative Capacity').</p> <p>Other capacity (if any):</p> <p>_____</p>			

IMPORTANT: By signing this Form you confirm that the details contained in this Form are true and correct. Once you have signed this Form, you will not be able to say later that the details that you put in this Form were not true and correct when you gave us this Form. We may also have claims and rights against you because of the details that you put in this Form.



Schedule 1

SWORN AFFIDAVIT: STATUS AS BLACK PERSON

Physical Address: _____

I, the undersigned,

Full Names & Surname: _____

ID Number: _____

Gender: Female Male

Hereby declare under oath as follows:

1. I am an adult person, a Black Person (African, Coloured (including Chinese), Indian) and I am a South African Citizen as I was born in South Africa OR one of my parents was a SA citizen when I was born OR I obtained my citizenship before 27 April 1994 or I qualified for citizenship (naturalization) before 27 April 1994 but because of apartheid laws could only become a citizen (naturalise) after this date.
2. A certified copy of my Identity Document or certificate of naturalisation is attached.

I know and understand the contents of this affidavit and I have no objection to take the prescribed oath and consider the oath binding on my conscience.

Signature Date

Signed and sworn before me at _____ on this the _____ day of _____ 201__

The deponent has acknowledged that he/ she knows and understands the content of this declaration, that the information supplied is true and correct and that he/ she has no objection in taking the prescribed oath which he/ she considers to be binding on his/ her conscience.

COMMISSIONER OF OATHS

Tel: 082 241 0001 (Toll free from Vodacom cellphone) OR 011 285 0090 (Standard call rates apply)
Fax: 011 321 5663 Email: support@yeboyethushares.co.za

ELECTRONIC TRANSMISSION COVER SHEET															
No. of pages (including this):						Subject: Electronic document transmission									
Identity number of sender (if applicable):															
Sender's Group Shareholder number (if known):															
Email address:															
Cell phone number:															
Office phone number:															
Home phone number:															
Fax number:															
SENDER'S POSTAL ADDRESS						SENDER'S PHYSICAL ADDRESS									
POSTAL CODE:						POSTAL CODE:									

Schedule 2

FOR THE ATTENTION OF VELOCITY TRADE FINANCIAL SERVICES (PTY) LTD

Signature	Day	Month	Year

THIS COVER SHEET MUST BE USED FOR ALL ELECTRONIC DOCUMENT TRANSMISSIONS

THIS COVER SHEET MAY BE FAXED TO: +27 86 249 1030

OR

E-MAILED TO: support@yeboyetushares.co.za



**YEBOYETHU (RF) LIMITED
STANDARD TRADING PROCESS:
VERIFICATION REQUIREMENTS, TERMS
AND CONDITIONS**

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This document sets out the Trading Terms and Conditions applicable to the Standard Trading Process.

Any reference to "we", "us" or "our" shall refer to YeboYethu. Any reference to "you" and "your" shall refer to the Eligible Person making use of the Standard Trading Process.

2 SECTIONS TO THESE TERMS

- 2.1 **SECTION A** provides the basic information about the Standard Trading Process, how these Terms apply, and other important documents and information;
- 2.2 **SECTION B** provides information regarding how to complete the BEE Verification process. To use the Standard Trading Process, you, your parent or guardian (if you are a Minor) or your Authorised Representative (if you are a Black Group) must follow the steps laid out in Section B. Please note that there are different processes for Minors and other persons without Legal Capacity;
- 2.3 **SECTION C** contains certain warranties (promises), undertakings and indemnities (promises to pay) which you give to us and other persons;
- 2.4 **SECTION D** sets out when we may change these Terms, suspend the BEE Verification process or your use of the Transaction Platform, or close the Transaction Platform or your use of it;
- 2.5 **SECTION E** sets out our intellectual property rights and our right to transfer our rights and obligations to others. It also sets out some of the limits of the amounts you can claim from us;
- 2.6 **SECTION F** explains how you and we may send each other notices under these Terms. It also sets out the contact details for the Call Centre; and
- 2.7 **SECTION G** sets out the general terms of these Terms.

3 LIMITS, EXCLUSIONS, LIABILITIES, RISKS AND PROMISES IN THESE TERMS

- 3.1 If you have chosen to hold, buy or sell your Shares in terms of the Standard Trading Process, then you need to agree to these Terms they record the terms, conditions, restrictions and limitations applicable to you, as well as your rights and duties.
- 3.2 **PLEASE NOTE:** There are various parts in these Terms where:
 - 3.2.1 your claims against us and our risks are excluded (taken away) or limited (lessened);
 - 3.2.2 rights and remedies you have against us are excluded or limited, including the amounts you can claim from us;
 - 3.2.3 you take on responsibility (liability) and risks; and
 - 3.2.4 you make promises to us.

Important: These parts will only apply as far as is allowed by the law, including as far as is allowed by the CP Act.

4 IMPORTANT TERMS AND CONDITIONS

All of the terms and conditions in these Terms are important. However, please ensure that you take special note of the words/sentences that are inside a box with bold writing as they have

particular consequences for you. Where boxes with bold writing appear in this document, they are only intended to bring your attention to important parts and to explain the fact, nature and effect of those parts. These boxes with bold writing are aids to understanding only and are not terms or conditions themselves. They do not limit the meaning or application of this document. If parts of this document are discussed in the boxes, such discussion does not apply only to the situations and facts given in the examples or situations described in the boxes, but may apply to similar examples or situations.

The table below tells you where to find these parts in these Terms.

Section	Heading of part
Section A (About these Terms)	Paragraph 8.1 (Agree to these Terms)
Section A (About these Terms)	Paragraph 7.2.6 (We will not be responsible (liable) for the actions of the Trading Entity and the Custody Entity)
Section B (How to complete the BEE Verification process)	Paragraph 12.3 (We follow your Authorised Representative's instructions)
Section B (How to Complete the BEE Verification process)	Paragraph 13.1 (Your parent or guardian acts for you)
Section C (Warranties, Undertakings and Indemnities)	Paragraph 15 (What you promise when you agree to these Terms)
Section E (Our Rights, Obligations and Limits to Our Liability)	Paragraph 21 (Limits to your claims against us) (Please read the whole of paragraph 21)
Annexure 2 (Privacy Policy)	Paragraph 30.7 (You give up your rights)
Annexure 2 (Privacy Policy)	Paragraph 30.8 (Confirmation of your ability)
Annexure 2 (Privacy Policy)	Paragraph 30.9 (Limits to your claims against us)

You confirm that you have read, are aware of, understand and agree to the limits, exclusions, liabilities, risks and promises in these Terms.

5 ANNEXURES TO THESE TERMS

5.1 **Annexure 1:** Interpretation and Definitions

Specific meanings (definitions) have been given to some words and phrases in these Terms. These words and phrases start with a capital letter. The only defined words that do not always have a capital letter are the words "**you**", "**we**" and "**us**". A list of defined words and phrases, and also the meanings we have given them, is contained in Annexure 1 (Interpretation and Definitions) attached to these Terms.

5.2 **Annexure 2:** Privacy Policy

This Annexure sets out how we use your Personal Information. This is the Privacy Policy for the Standard Trading Process.

5.3 **Annexure 3:** Supporting Documents

This Annexure provides a list of documents required for Black People and Black Groups wishing to complete the Application Process, the BEE Verification process and/or wishing to change or correct the details we have for you.

SECTION A: ABOUT THESE TERMS

6 MEANING OF WORDS AND RULES FOR READING AND APPLYING

- 6.1 Unless otherwise stated or the context otherwise indicates, words and phrases that are defined will start with a capital letter and a full list of these words and phrases is provided in Annexure 1 (Interpretation and Definitions) to these Terms, along with an explanation of how to read, interpret and apply these words and phrases to these Terms.
- 6.2 Sometimes the definitions of a word or phrase can also be found in a paragraph where we use the word or phrase. These words and phrases that have been defined will have the same meaning wherever they are used in these Terms.
- 6.3 Where we use the word "**responsibilities**" in these Terms, this may also include liabilities, obligations or duties.

7 ABOUT THE STANDARD TRADING PROCESS

7.1 BEE Verification Agent acting on our behalf

During the Lock-in Period, only Eligible Persons may own Shares. To hold, buy, sell or otherwise transfer Shares during the BEE Listing Period in terms of the Standard Trading Process, you must qualify as a BEE Verified Person. We have established procedures to ensure that Black Persons or Black Groups that own Shares, or that want to own Shares, are confirmed as Eligible Persons as well as that such Eligible Persons qualify as BEE Verified Persons. We have appointed the BEE Verification Agent to do these tasks. The BEE Verification Agent will accordingly act as our agent and undertake various actions and carry out various tasks on our behalf.

7.2 The BEE Listing

- 7.2.1 With effect from the date announced by YeboYethu (the date of the BEE Listing), the OTC Trading Platform will no longer operate. Rather, the Shares will be listed on the JSE. This will change the way in which you may hold, buy, sell or otherwise transfer Shares. You may find information regarding the different options when it comes to the ways in which you may hold, buy, sell or otherwise transfer Shares on the Shareholder Platform Website. From the date of the BEE Listing, you may hold, buy, sell or otherwise transfer Shares in one of the following three ways:
- 7.2.1.1 in terms of the Own-Broker Trading Process. With this option, you will trade on the JSE, but not through the Transaction Platform and we will require, amongst other things, that you sign a YeboYethu BEE Contract with us and each of the Relevant Market Participants that you have chosen to provide trading and custody services to you in respect of your Shares;
- 7.2.1.2 in terms of the Off-Market Transfers Process. If you wish to hold, buy, sell or otherwise transfer or receive transfer of Shares by way of an Off-Market Transfer, then you will choose to do so in terms of the Off-Market Transfers Process; or
- 7.2.1.3 in terms of the Standard Trading Process (which is described in greater detail below).
- 7.2.2 There are specific BEE Verification requirements which apply in respect of the three options referred to in paragraphs 7.2.1.1, 7.2.1.2 and 7.2.1.3 above. Please refer to the applicable Trading Terms and Conditions in respect of each of these options.

- 7.2.3 This document sets out the Trading Terms and Conditions which apply to the Standard Trading Process. In terms of this option, you will be required to sign as part of the BEE Verification:
- 7.2.3.1 the Custody Entity Mandate, which is a contract between you and the Custody Entity; and
- 7.2.3.2 the Trading Entity Mandate, which is a contract between you and the Trading Entity.
- 7.2.4 The Custody Entity's nominee (being Pacific Custodians (Nominees) (RF) Proprietary (Limited) will be named in YeboYethu's electronic Securities Register as the registered holder of your Shares. You will still be the beneficial owner of your Shares even though they are registered in the name of the Custody Entity's nominee. The Custody Entity will provide you with custody and settlement services in respect of your Shares, and will hold and administer the funds which you have deposited with the Custody Entity for trading purposes. You may decide to change the way in which you hold your Shares. For example, you may want to have a different person (including yourself) be named as the registered holder of your Shares in the Securities Register. In this case, different BEE Verification requirements will then apply to you. Please refer to the Shareholder Platform Website for details on the different BEE Verification requirements which apply to the Standard Trading Process, the Off-Market Transfers Process and the Own-Broker Trading Process.
- 7.2.5 The Trading Entity will provide trading services to you. When you want to buy or sell Shares, you will place your Order with the Trading Entity (either through the Transaction Platform Website or the Call Centre), and the Trading Entity will then place this Order with the JSE on your behalf. You may not place any Orders in respect of Shares other than through the Trading Entity.
- 7.2.6 You will have a contract with, and be a direct client of, the Trading Entity and the Custody Entity. We will not be responsible (liable) for the actions of the Trading Entity and the Custody Entity.
- 7.2.7 Given the integrated nature of the trading services which the Trading Entity will provide to you and the custody and settlement services which the Custody Entity will provide to you, through the Transaction Platform, your Personal Information will be shared by us with both the Trading Entity and the Custody Entity, and between the Trading Entity and the Custody Entity from time to time. You give us permission to share your Personal Information for this purpose. See Annexure 2 (Privacy Policy) of this document for further information on the permissions you give us in respect of your Personal Information.
- 7.2.8 If you have chosen to hold, buy and sell your Shares in terms of the Standard Trading Process, then these Terms will apply to you. If you at any time wish to change the way in which you hold, buy, sell or otherwise transfer Shares, you must consider the information which is available to you on the Shareholder Platform Website and apply to be BEE Verified in terms of the option chosen by you.
- 7.3 Who can buy and own Shares?**
- 7.3.1 During the BEE Listing Period, only Black Persons and Black Groups who are Eligible Persons may own Shares, and only BEE Verified Persons may buy, sell, otherwise transfer or receive transfer of Shares.
- 7.3.2 Only Black People or Black Groups who we have confirmed are Eligible Persons, or who are treated by us as Eligible Persons, may apply to be confirmed as a BEE Verified Person. Only once you are confirmed as a BEE Verified Person in terms of these Terms may you hold, buy or sell Shares through the Standard Trading Process.

7.4 **Buying and selling Shares through the Transaction Platform**

7.4.1 From the date of the BEE Listing, Shares may be bought and sold on the JSE. A Transaction Platform has been created by the Trading Entity and the Custody Entity. The Transaction Platform will provide one way in which YeboYethu shareholders and other qualifying Black Persons and Black Groups can buy and sell Shares on the JSE.

7.4.2 The Transaction Platform is an electronic platform for the purposes of maintaining records of your holding of Shares and for placing Orders through a website or through a call centre. This electronic system can be used through the Transaction Platform Website or through the Call Centre. This electronic system, the Transaction Platform Website and the Call Centre all together form the "**Transaction Platform**". Even though Orders are placed by you with the Trading Entity through the Transaction Platform, no matching of Orders will take place on the Transaction Platform. The Trading Entity will, in terms of your contract with the Trading Entity, relay your Order to the JSE, where the Order will match in accordance with the JSE's own systems and processes.

7.4.3 When you use the Transaction Platform, you must comply with these Terms.

7.5 **Fees**

There is no fee for completing the Application Process or the BEE Verification process or for registering to use the Transaction Platform. You will have to pay transaction fees and taxes to the Trading Entity and the Custody Entity when you buy or sell Shares through the Transaction Platform. Please refer to the Trading Entity Mandate and the Custody Entity Mandate for details on the fees payable by you to the Trading Entity and the Custody Entity respectively.

7.6 **Authorities may impose further conditions on the Transaction Platform**

Authorities may impose further conditions on the Transaction Platform. This may affect the Transaction Platform's operation.

7.7 **We do not provide any advice**

We do not provide any legal, financial, investment, tax or other advice. You must not treat any information we give as such advice. You are responsible for getting this advice if you need it.

8 **THE TRADING TERMS AND CONDITIONS IS A LEGAL CONTRACT BETWEEN YOU AND US**

8.1 **Agree to these Terms**

8.1.1 These Terms apply to the BEE Verification process and your use of the Transaction Platform when you have chosen to hold, buy or sell Shares in terms of the Standard Trading Process.

8.1.2 You must agree to these Terms if you want to become a BEE Verified Person for the Standard Trading Process and to use the Transaction Platform.

8.1.3 When you agree to these Terms, this will become a legal contract between you and us.

THESE TERMS PUT RESPONSIBILITIES AND RISKS ON YOU AND LIMIT OUR RESPONSIBILITIES AND RISKS. YOU MUST MAKE SURE YOU READ THESE TERMS CAREFULLY AND FOLLOW ALL THE INSTRUCTIONS IN IT.

8.2 **How to agree to the Terms**

8.2.1 There are different ways that you can agree to these Terms.

8.2.2 If you are a Black Person, see **Step 5**: Agree to these Terms and the Privacy Policy under paragraph 10.5 contained below in Section B (How to Complete the BEE Verification process), for information on how you can agree to these Terms.

8.2.3 If you are a Black Group, see **Step 2**: Agree to these Terms and the Privacy Policy, the Trading Entity Mandate and the Custody Entity Mandate under paragraph 11.2 contained below in Section B (How to Complete the BEE Verification process) for information on how you can agree to these Terms.

9 **OTHER IMPORTANT DOCUMENTS**

9.1 **Application Form (Black People)**

If you have not previously held Shares, we require you to first submit an Application Form (Black People), which will include completing an affidavit confirming your status as a Black Person, before you can be considered an Eligible Person.

9.2 **Application Form (Black Group)**

If you are a Black Group that has not previously held Shares you must first submit the Application Form (Black Group), confirming your status as a Black Group, which may include completing the BEE Ownership Document (in the absence of a valid BEE Ownership Certificate), before you can be considered an Eligible Person.

9.3 **Financial Intelligence Centre Act, 2001**

If you have not previously traded Shares on the OTC Trading Platform you will be required to submit all documentation required by the BEE Verification Agent for purposes of complying with the requirements of the Financial Intelligence Centre Act, 2001.

9.4 **Verification Form (Black People)**

We require Black People to complete a Verification Form (Black People) that we will provide, and to provide all Supporting Documents referred to in Annexure 3 (Supporting Documents) of these Terms.

9.5 **Verification Form (Black Groups)**

We require Black Groups to complete a Verification Form (Black Groups) that we will provide, and to provide all Supporting Documents referred to in Annexure 3 (Supporting Documents) of these Terms.

9.6 **YeboYethu MOI and Transaction Agreements**

The YeboYethu MOI and the Transaction Agreements, which govern the relationship between YeboYethu shareholders, apply to Black People and Black Groups who own Shares.

You must agree to be bound by the YeboYethu MOI and Transaction Agreements as part of the BEE Verification process. This is a condition to you qualifying as a BEE Verified Person.

(You may ask us to give you copies of the YeboYethu MOI and Transaction Agreements at any time or you can view them on the Shareholder Platform Website.)

SECTION B: HOW TO COMPLETE THE BEE VERIFICATION PROCESS

10 BLACK INDIVIDUALS (BLACK PEOPLE)

10.1 Step 1: Receive confirmation that you are an Eligible Person

To start the BEE Verification process, you must be an Eligible Person. If you are a Black Person who has not previously held Shares you must first submit the Application Form, which will include completing an affidavit confirming your status as a Black Person, before you can be considered an Eligible Person. Once we are satisfied that you are an Eligible Person, we will inform you by SMS and/or e-mail (if you provided an e-mail address) that we consider you to be an Eligible Person. We will provide you with a link which you may click to start the BEE Verification process if you wish to use the Standard Trading Process. You may also phone the Call Centre. Black Persons who currently hold Shares shall automatically be regarded as Eligible Persons, shall not be required to complete the Application Process and may start the BEE Verification process. However, Black People that have previously held Shares but have not previously traded Shares on the OTC Trading Platform shall be required to provide all documentation required for FICA to the BEE Verification Agent as part of the BEE Verification process.

10.2 Step 2: Give us your shareholder number and identity number ("ID Number")

10.2.1 After you have received the notification from us saying that you are an Eligible Person, you must start the BEE Verification process by giving us your ID Number so that we can identify you. You can do this on the Shareholder Platform Website (by clicking the button that says "**Apply for BEE Verification for the Standard Trading Process**") or by clicking on the link we have sent you or by phoning the Call Centre. If you click this button on the Shareholder Platform Website or follow the link that we provided, you will be taken to the BEE Verification page on the Transaction Platform Website, where the BEE Verification process will need to be completed.

10.2.2 If the ID Number you give us is incorrect, or we do not have such an ID Number in our Eligible Person records, we may ask you to give us information to prove that you are an Eligible Person. You will only be able to continue with the BEE Verification process once you have given us the information we need and we have updated our records.

10.3 Step 3: Receive a "One Time Pin" ("OTP")

10.3.1 After we have confirmed that your ID Number belongs to an Eligible Person, we will ask you to confirm that the cell number we have for you is correct.

10.3.2 If you confirm that the cell number is correct, we will send you an SMS with an OTP to that number. If you tell us that your cell number has changed or is incorrect, we may ask you to complete and give us a Change of Details Form (Black People). See paragraph 14 (Changing, correcting and completing details) contained in this Section B (How to Complete the BEE Verification process), for more about changes of details.

10.4 Step 4: Enter your OTP

When we ask you, you must give us your OTP by entering it on the Transaction Platform Website or by telling the Call Centre Agent who you are speaking to. The OTP we send you will expire after 5 minutes. If you do not give us the OTP before it expires, you will have to start the process again.

10.5 Step 5: Agree to these Terms and the Privacy Policy

10.5.1 After you have entered your OTP, you will be asked to agree to these Terms and the Privacy Policy. This can be done:

10.5.1.1 through the Transaction Platform Website by clicking on the relevant checkbox when you are asked to do so; or

10.5.1.2 through the Call Centre by confirming to the Call Centre Agent over the phone that you have read, understand and agree to these Terms and the Privacy Policy.

10.6 Step 6: Agree to the Trading Entity Mandate and the Custody Entity Mandate

After you have agreed to these Terms and the Privacy Policy, you will be asked to agree to the Trading Entity Mandate and the Custody Entity Mandate. This can be done:

10.6.1 through the Transaction Platform Website by clicking on the relevant checkbox when you are asked to do so; or

10.6.2 through the Call Centre by confirming to the Call Centre Agent over the phone that you have read, understand and agree to the Trading Entity Mandate and the Custody Entity Mandate.

10.7 Step 7: Confirm your details and provide us with outstanding Supporting Documents

10.7.1 We will ask you to confirm that the details we have on record for you are still correct. If your details are wrong or incomplete, you must click on "These details are incorrect" on the Transaction Platform Website or inform the Call Centre Agent. You will need to complete a Change of Details Form (Black People) for changes, corrections or additions to any of your details. See paragraph 14 (Changing, correcting and completing details) contained in this Section B (How to Complete the BEE Verification process), for more information about changes of details.

10.7.2 If you have not yet provided any particular information or Supporting Documents which we require in order to complete your BEE Verification process, we will notify you of this either through the Transaction Platform Website or the Call Centre Agent will do so. In order to complete the BEE Verification process, you must ensure that you have provided us with all of the Supporting Documents referred to in Annexure 3 (Supporting Documents) attached to these Terms. The list of Supporting Documents may also be obtained on the Shareholder Platform Website or you may call the Call Centre (during Business Hours) for this information. The Supporting Documents which you have not yet provided to us may be submitted to us in accordance with paragraph 23.5 (Address where we agreed to accept legal documents and notices) contained in Section F (Contact Details and Notices) and also by way of hand delivery to 13th Floor Rennie House, 19 Ameshoff Street, Braamfontein, 2001.

10.7.3 We will review your Supporting Documents. If there is any required information or Supporting Document missing, you will be informed by SMS and/or e-mail (if you provided an e-mail address) that your submission is incomplete, and we will request that you provide the missing information or Supporting Document to us.

10.8 **Step 8: Receive confirmation that you are a BEE Verified Person**

10.8.1 We will await to receive confirmation from:

10.8.1.1 the Trading Entity that you have validly agreed to the Trading Entity Mandate; and

10.8.1.2 the Custody Entity that:

10.8.1.2.1 you have validly agreed to the Custody Entity Mandate; and

10.8.1.2.2 the Custody Entity is satisfied with your information and all Supporting Documents submitted, and has accordingly created a custody account in your name which you can access once you have Registered to use the Transaction Platform Website.

10.8.2 Only once you have successfully completed the Steps listed above, and we have received the confirmations referred to in paragraph 10.8.1 above, will you have successfully completed the BEE Verification process. We will inform you by SMS that you qualify as a BEE Verified Person for the purposes of the Standard Trading Process. You may then proceed to Register to use the Transaction Platform. Please refer to the Trading Entity Mandate for details on how to complete the Registration Process.

11 **BLACK GROUPS**

11.1 **Step 1: Complete an Application Form (Black Groups) and Verification Form (Black Groups) to confirm that you are an Eligible Person**

11.1.1 To start the BEE Verification process, you must be an Eligible Person. If you are a Black Group that has not previously held Shares you must first submit the Application Form, which may include completing the BEE Ownership Document (in the absence of a valid BEE Ownership Certificate) confirming your status as a Black Group, before you can be considered an Eligible Person. Once we are satisfied that you are an Eligible Person, we will inform your Authorised Representative by SMS and/or e-mail (if your Authorised Representative provided an e-mail address) that we consider you to be an Eligible Person. We will provide your Authorised Representative with a link which your Authorised Representative may click to start the BEE Verification process if you wish to use the Standard Trading Process. Your Authorised Representative may also phone the Call Centre. Black Groups that currently hold Shares shall automatically be regarded as Eligible Persons, shall not be required to complete the Application Process and may start the BEE Verification process. However, Black Groups that have not previously traded Shares on the OTC Trading Platform shall be required to provide all documentation required for FICA to the BEE Verification Agent as part of the BEE Verification Process.

11.1.2 To start the Verification Process, you must complete and sign a Verification Form (Black Groups).

11.1.3 The Verification Form (Black Groups) may be obtained on the Shareholder Platform Website or you may call the Call Centre (during Business Hours) and request that a copy be sent to you. You must download and print the Verification Form (Black Groups) and complete it manually.

11.1.4 Please note that Annexure 3 (Supporting Documents) includes a list of Supporting Documents which you are required to submit together with your completed and signed Verification Form (Black Groups). You may have previously submitted certain of the Supporting Documents to us. We may require you to re-submit any or all of these Supporting Documents in order for your BEE Verification application to be considered.

- 11.1.5 Black Groups need to have an Authorised Representative to use the Transaction Platform. See paragraph 12 (Authorised Representatives) contained in this Section B (How to Complete the BEE Verification process), for more information about Authorised Representatives.
- 11.1.6 The completed and signed Verification Form (Black Groups), together with all required Supporting Documents, may be delivered to us in accordance with paragraph 23.5 (Address where we agree to accept legal documents and notices) contained in Section F (Contact Details and Notices) and also by way of hand delivery to 13th Floor Rennie House, 19 Ameshoff Street, Braamfontein, 2001.
- 11.1.7 We will review your Verification Form (Black Groups) and all Supporting Documents. If there is any required information or Supporting Document missing from your application or if we require you to re-submit any Supporting Documents previously provided to us, you will be notified by SMS and/or e-mail (if you provided an e-mail address) that your Verification Form (Black Groups) is incomplete, and will request that you provide the missing information or Supporting Document to us.

11.2 Step 2: Agree to these Terms, the Privacy Policy, the Trading Entity Mandate and the Custody Entity Mandate

You must agree to these Terms and the Privacy Policy (see Annexure 2 (Privacy Policy)) by completing and signing the Verification Form (Black Groups), and you must agree to the Trading Entity Mandate (see Annexure D (Trading Entity Mandate)) and the Custody Entity Mandate (see Annexure E (Custody Entity Mandate)), copies of which are attached to these Terms.

11.3 Step 3: Receive confirmation that you qualify as a BEE Verified Person

- 11.3.1 We will await to receive confirmation from:
- 11.3.1.1 the Trading Entity that you have validly agreed to the Trading Entity Mandate; and
- 11.3.1.2 the Custody Entity that:
- 11.3.1.2.1 you have validly agreed to the Custody Entity Mandate; and
- 11.3.1.2.2 the Custody Entity is satisfied with your particulars and all Supporting Documents submitted in respect of you, and has accordingly created a custody account in your name which you can access once you have Registered to use the Transaction Platform Website.
- 11.3.2 When we are satisfied that:
- 11.3.2.1 you are an Eligible Person;
- 11.3.2.2 your Verification Form (Black Groups) is complete and that you have provided all required Supporting Documents; and
- 11.3.2.3 you have agreed to be bound by these Terms, the Privacy Policy, the Trading Entity Mandate and the Custody Entity Mandate,

and we have received the confirmations referred to in paragraph 11.3.1 above, we will notify your Authorised Representative by SMS and/or e-mail (if your Authorised Representative provided an e-mail address) that you qualify as a BEE Verified Person for the purposes of the Standard Trading Process. You may then proceed to Register to use the Transaction Platform. Please refer to the Trading Entity Mandate for details on how to complete the Registration Process.

12 AUTHORISED REPRESENTATIVES

12.1 Black Groups must have an Authorised Representative

Black Groups must appoint a person to be their Authorised Representative. If you are a Black Group, an "**Authorised Representative**" is the individual you appoint to use the Transaction Platform for you and to engage with us, the BEE Verification Agent, the Trading Entity, the Custody Entity and the Transfer Secretaries on your behalf.

12.2 Appointing and changing an Authorised Representative

12.2.1 A Black Group may appoint an Authorised Representative as part of the Application Process. If this was not done while completing the Application Process, you must appoint an Authorised Representative as part of the BEE Verification process. The Black Group must put the elected Authorised Representative's name in the Verification Form (Black Groups) and provide us with the Supporting Documents we need.

12.2.2 To change an Authorised Representative, your new Authorised Representative must provide us with a completed Change of Details Form and the required Supporting Documents listed in Annexure 3 (Supporting Documents). See paragraph 14 (Changing, correcting and completing details) contained in this Section B (How to Complete the BEE Verification process), for more information about changes of details.

12.2.3 After we have received and checked the Change of Details Form and the required Supporting Documents, we will send confirmation by SMS to your new Authorised Representative. This process may take a few days.

12.3 We follow your Authorised Representative's instructions

12.3.1 Only the Authorised Representative will be able to engage with us or the BEE Verification Agent on your behalf or issue instructions on your behalf to the Trading Entity and the Custody Entity.

12.3.2 If the Black Group changes its Authorised Representative, the old Authorised Representative will not be able to act for the Black Group on the Transaction Platform anymore or do anything else in relation to the Standard Trading Process. See paragraph 12.2 (Appointing and changing an Authorised Representative) above, for more information about changing an Authorised Representative.

12.3.3 You give us, any of our agents, the Trading Entity and the Custody Entity permission to carry out instructions given to us or any of them by your Authorised Representative. We (and our agents, the Trading Entity and the Custody Entity) may treat instructions given by your Authorised Representative as if they are instructions given with your permission or given directly by you. You are responsible (liable) for what your Authorised Representative does.

THIS PARAGRAPH 12.3 IS IMPORTANT AS IT:

- **limits your claims against us;**
- **limits or excludes any rights and remedies you have against us; and**
- **makes you take on responsibility (liability) and risks.**

Because of this paragraph you appoint the Authorised Representative knowing that they may do things which will affect you. You also take on liability for what your Authorised Representative does and we may have claims against you.

We will not be responsible if you suffer loss or damages because of something your Authorised Representative did. For example, if they gave us Personal Information without your consent or knowledge.

13 MINORS AND PERSONS WITHOUT LEGAL CAPACITY

13.1 Your parent or guardian acts for you

If you are a Minor:

- 13.1.1 your parent or guardian must complete the BEE Verification process for you. Your parent or guardian must register for you to use the Transaction Platform. Your parent or guardian must phone the Call Centre and a Call Centre Agent will tell your parent or guardian what information we need and how they should complete the BEE Verification process for you; and
- 13.1.2 in these Terms and the Change of Details Form, when we refer to "**you**" or "**your**" or "**yourself**" or "**I**", this means both you (the Minor) and also the parent or guardian acting on your behalf. This does not apply when the situation requires or suggests that we are only referring to the Minor and not also to the parent or guardian (for example, when we refer to "you" in paragraph 13.2 (When you turn 18) below.)

THIS PARAGRAPH 13.1 IS IMPORTANT.

When we refer to "you" or "your" or "yourself" or "I", this can mean both the Minor and also the parent or guardian of the Minor. Because of this, in these Terms:

- **whenever the Minor agrees to something, the parent or guardian is also agreeing to it;**
- **all the limitations and exclusions of our responsibilities (liabilities) towards the Minor will also apply towards the parent or guardian;**
- **whenever the rights and remedies of the Minor against us are excluded or limited, the rights and remedies of the parent or guardian against us are also limited and excluded;**
- **whenever the Minor takes on responsibility (liability) and risks, the parent or guardian also takes on responsibility (liability) and risks; and**
- **whenever we have claims against the Minor, we will also have claims against the parent or guardian.**

Because of this paragraph, the Minor and the parent or guardian is responsible for what the other does.

We will not be responsible if the Minor suffers loss or damages because of something that the parent or guardian did. For example, if they gave us Personal Information without the Minor's consent and knowledge.

We will also not be responsible (liable) if the parent or guardian suffers loss or damages because of something that the Minor did.

13.2 When you turn 18

When you turn 18 years old, your parent or guardian will no longer be able to represent you. You will need to call the Call Centre and follow the instructions given by the Call Centre Agent.

13.3 Other Black Persons without Legal Capacity

There may be other terms and conditions that apply to Black Persons who do not have Legal Capacity (this is the right and ability to enter into valid agreements, considering factors like age, marriage status, mental status and financial status). For more information about this, please contact the Call Centre or send an email to support@yeboythushares.co.za.

14 CHANGING, CORRECTING AND COMPLETING DETAILS

14.1 New, incorrect or incomplete details

You must tell us if the details we have for you change, or if they are incorrect or incomplete.

14.2 Complete and send us a Change of Details Form and Supporting Documents

14.2.1 You must provide us with a completed Change of Details Form and provide us with the required Supporting Documents. The Supporting Documents we need will depend on the details that you are changing.

14.2.2 This Change of Details Form and the list of the Supporting Documents can be obtained

from the Shareholder Platform Website or the Transaction Platform Website or you can phone the Call Centre and it will be sent to you. The list of Supporting Documents is also attached to these Terms as Annexure 3 (Supporting Documents).

14.2.3 You must send the properly completed Change of Details Form and the Supporting Documents we ask for to us in accordance with paragraph 23.5 (Address where we agree to accept legal documents and notices) contained in Section F (Contact Details and Notices) and also by way of hand delivery to 13th Floor Rennie House, 19 Ameshoff Street, Braamfontein, 2001.

14.2.4 After we have received and checked the Change of Details Form and the required Supporting Documents, we will send confirmation by SMS and/or by e-mail (if you provided an e-mail address) to you or your Authorised Representative (if you are a Black Group). This may take a few days.

14.3 **Suspending or stopping the BEE Verification process or your use of the Transaction Platform**

14.3.1 We can stop or suspend the BEE Verification process or make the Trading Entity and the Custody Entity stop or suspend your use of the Transaction Platform if we reasonably think that your details have changed, or that your details are incomplete or incorrect, or that you do not qualify as a BEE Verified Person. We may refuse to complete the BEE Verification process if we reasonably think that your details have changed, or that your details are incomplete or incorrect, or that you do not qualify as an Eligible Person. This right of ours is included in the Trading Entity Mandate and the Custody Entity Mandate. If this happens, you must provide us with a complete Change of Details Form and any Supporting Documents which we ask for. See paragraph 14.2 (Complete and send us a Change of Details Form and Supporting Documents) above contained in this Section B (How to Complete the BEE Verification process), for more information in this regard.

14.3.2 We may allow you to continue the BEE Verification process or to register on and use the Transaction Platform, after we have received and checked the Change of Details Form and the required Supporting Documents. We will send confirmation by SMS and/or by e-mail (if you provided an e-mail address) to you. This may take a few days.

SECTION C: WARRANTIES, UNDERTAKINGS AND INDEMNITIES

THIS PARAGRAPH 15 IS IMPORTANT AS IT CONTAINS WHAT YOU PROMISE WHEN YOU AGREE TO THESE TERMS.

You promise and agree to certain facts, and agree to be responsible (liable) for certain payments. By making these promises you take on liability and risks.

You will not be able to deny that the statements and promises below are not true. Because of this paragraph we may treat the promises you make as the truth. If your statements or promises are not true, we may have claims against you.

15 YOU PROMISE THE FOLLOWING WHEN YOU AGREE TO THESE TERMS

15.1 When you agree to these Terms, you agree, promise (warrant) and undertake that:

- 15.1.1 you are a Black Person or a Black Group (as applicable);
- 15.1.2 you are an Eligible Person;
- 15.1.3 any information and documents which you provide (or have provided) to us, any of our agents, the Trading Entity and/or the Custody Entity are complete and accurate in all respects, and you are aware that we, our agents, Trading Entity and Custody Entity will rely on the details contained in such information and documents;
- 15.1.4 you will be and remain the beneficial owner of the Shares that you buy through the Transaction Platform;
- 15.1.5 subject to our rights under the YeboYethu MOI, nobody else has any rights to or over your Shares;
- 15.1.6 you are not holding the Shares for somebody else;
- 15.1.7 you will not try to sell Shares belonging to someone else; and
- 15.1.8 we may require you to sell your Shares under the YeboYethu MOI (for example, if you are not actually a Black Person or Black Group, or you are not an Eligible Person). If this happens then you agree that we may instruct the Custody Entity to transfer your Shares to us in terms of the YeboYethu MOI or the Transaction Agreements. You further agree that the Custody Entity may treat this instruction by us as if it were an instruction by you.

15.2 When you agree to these Terms, you give the following promise to pay

You agree to pay to us and our directors, officers, employees, servants, agents and contractors or other persons for whom in law they may be liable, the amount of any claims made against any of them by any person or any direct loss or damage suffered by them because of something that you have done or failed to do (including as a result of your breach of these Terms or the YeboYethu MOI).

SECTION D: CHANGES TO THESE TERMS, SUSPENSION OF BEE VERIFICATION STATUS OR YOUR USE OF THE TRANSACTION PLATFORM

16 CHANGING THESE TERMS

16.1 We may change the Terms

We may change these Terms at any time. We will give you at least 10 Business Days' notice before the changes will apply. We will give you notice of the changes in a shorter time period in either of these circumstances:

- 16.1.1 the law or an applicable Authority says we must; or
- 16.1.2 it is reasonable to give you less than 10 Business Days' notice.

16.2 Agree to the changes before they apply

- 16.2.1 If we make changes to these Terms, you must agree to the changes before they apply or stop using the Transaction Platform.
- 16.2.2 If you choose to stop using the Transaction Platform, you must do so in accordance with the Trading Entity Mandate and the Custody Entity Mandate, as you are a client of each of the Trading Entity and the Custody Entity. Please note that if you stop using the Transaction Platform, you will no longer be a BEE Verified Person for the purposes of the Standard Trading Process. You will need to apply again to become a BEE Verified Person before you will be allowed to buy or sell any Shares.
- 16.2.3 If you do not agree to our changes to the Terms before the changes apply, we may suspend you from using the Transaction Platform and we can make the Trading Entity suspend the trading services which it provides to you in terms of the Trading Entity Mandate. We may also take away your status as a BEE Verified Person if you do not agree to our changes to the Terms. You will then no longer be a BEE Verified Person for the purposes of the Standard Trading Process.
- 16.2.4 Until you agree to our changes to these Terms, every time you log in to the Transaction Platform Website or contact the Call Centre, you will be given an opportunity to agree to the changes.

17 SUSPENSION OF YOUR BEE VERIFICATION STATUS OR SUSPENDING OUR USE OF THE TRANSACTION PLATFORM

17.1 Suspension because of a dispute or illegal activity

We may suspend your BEE Verification status and/or make each of the Trading Entity and the Custody Entity temporarily suspend part or all of your use of the Transaction Platform and your Transaction Platform Account if (this right of ours will be included in the Trading Entity Mandate and the Custody Entity Mandate):

- 17.1.1 we reasonably believe that your Transaction Platform Account is being used for illegal purposes, including money laundering or fraud;
- 17.1.2 there is a disagreement between who owns or has authority to trade the Shares; or
- 17.1.3 you are a Black Group, if there is disagreement about whether the Authorised Representative is still authorised to represent you.

17.2 Notice we will give you before we suspend your BEE Verification status or suspend your use of the Transaction Platform because of a dispute or illegal activity

We will give you notice that we will suspend your BEE Verification status or are about to make the Trading Entity and the Custody Entity suspend your use of the Transaction Platform and, as far as the law allows, give you 10 Business Days to prove:

- 17.2.1 you are the owner of the Shares;
- 17.2.2 you are not using the Transaction Platform Account for illegal purposes; or
- 17.2.3 your Authorised Representative is still authorised to represent you, or that a new Authorised Representative has been appointed. See paragraph 12.2 (Appointing and changing an Authorised Representative) contained in Section B (How to Complete the BEE Verification process), for information about changes to your Authorised Representative.

17.3 Permanent removal or suspension of your use of the Transaction Platform because of a dispute or illegal activity

If you do not prove the facts above within 10 Business Days, we can make the Trading Entity and the Custody Entity suspend your use of the Transaction Platform until you give us the proof we need.

17.4 Suspension of BEE Verification status or suspension of your use of the Transaction Platform because of expiry of BEE Status

- 17.4.1 A Black Group's BEE Status (proof that you qualify as a Black Group in terms of a BEE Ownership Certificate or BEE Ownership Document) expires on the earlier of:
 - 17.4.1.1 the date set out on the BEE Ownership Certificate or BEE Ownership Document; or
 - 17.4.1.2 every 12 months from the date the BEE Ownership Certificate or BEE Ownership Document was issued.
- 17.4.2 We will give you notice 3 months and then again 1 month before this happens by SMS, and remind you that you need to update our information about your BEE Status. This is so that we have evidence that you still qualify as a Black Group.
- 17.4.3 We will tell you what Supporting Documents you need to provide us with to update our information about your BEE Status. You will need to give us this information at least 5 Business Days before the end of the 3 month period so that we will have enough time to update our information about your BEE Status.
- 17.4.4 If you do not give us the information we reasonably request so that we have evidence that you still qualify as a Black Group, we will suspend your BEE Verification status and we can make the Trading Entity and the Custody Entity restrict your access to the Transaction Platform so that your Authorised Representative will not be able to log onto the Transaction Platform or give instructions through the Call Centre and that you will not be able to use the Transaction Platform. This will apply until we receive the information and have updated our records about your BEE Status. It may take a few days to update our records. This right of ours will be included in the Trading Entity Mandate and the Custody Entity Mandate.

17.5 Other reasons for suspension of BEE Verification status or suspension of your use of the Transaction Platform

- 17.5.1 We may suspend your BEE Verification status or can make each of the Trading Entity and the Custody Entity temporarily suspend part or all of your use of the Transaction Platform Website, the Call Centre and your Transaction Platform Account if:
- 17.5.1.1 the Trading Entity needs to do maintenance on the Transaction Platform;
 - 17.5.1.2 we become aware of any actual, threatened or suspected fraud or any actual, threatened or suspected unauthorised use of the Transaction Platform;
 - 17.5.1.3 we become aware of any actual, threatened or suspected breach by you of the Trading Entity Mandate and/or the Custody Entity Mandate;
 - 17.5.1.4 you have ended the Trading Entity Mandate or the Custody Entity Mandate (in which case you will no longer be a BEE Verified Person for the purposes of the Standard Trading Process);
 - 17.5.1.5 the Trading Entity has ended the Trading Entity Mandate with you or the Custody Entity has ended the Custody Entity Mandate with you (in which case you will no longer be a BEE Verified Person for the purposes of the Standard Trading Process);
 - 17.5.1.6 we have reasonable grounds to believe that the Transaction Platform is being used negligently, illegally, fraudulently, for money laundering, or in a way that we have not given permission for;
 - 17.5.1.7 we have reasonable grounds to believe that the security of the Transaction Platform may be in danger;
 - 17.5.1.8 you do not comply with these Terms even after we have given you 10 Business Days' notice to do so or to fix it (or a shorter period where this is reasonable);
 - 17.5.1.9 you do not comply with these Terms and it is reasonable not to first give you notice to do so or to fix it;
 - 17.5.1.10 we reasonably believe that any security aspect of the Standard Trading Process has been compromised or your Personal Information has been lost or stolen or disclosed to an unauthorised person;
 - 17.5.1.11 we become aware that any information you have given or that has been given for you is false, inaccurate, invalid, incomplete or misleading;
 - 17.5.1.12 we must do this to comply with the law;
 - 17.5.1.13 a court or applicable Authority tells us to do this; or
 - 17.5.1.14 where another part of these Terms allows us to do this suspension.
- 17.5.2 The suspension of your BEE Verification status and/or suspension will continue for so long as we reasonably believe is appropriate.
- 17.5.3 We will always act reasonably and according to the law when suspending your BEE Verification status.
- 17.5.4 The Trading Entity will give you notice by SMS that your Transaction Platform Account is suspended for these reasons only if it is reasonably practical to do so.

18 ENDING YOUR USE OF THE TRANSACTION PLATFORM

18.1 When we may end the Transaction Platform

We can make each of the Trading Entity and the Custody Entity end the Transaction Platform at any time and restrict you from using your Transaction Platform Account. We will give you 20 Business Days' notice if we have to end the Transaction Platform, unless the law requires the Transaction Platform to end sooner or the law enables us to give you less notice.

18.2 Trading Entity and the Custody Entity may end your use of the Transaction Platform and restrict access to your Transaction Platform Account

18.2.1 We can make each of the Trading Entity and the Custody Entity end your use of the Transaction Platform and restrict you from accessing your Transaction Platform Account at any time if:

18.2.1.1 you do not comply with these Terms even after we have given you 10 Business Days' notice to do so or to fix it (or a shorter period where this is reasonable);

18.2.1.2 you do not comply with these Terms and it is reasonable not to first give you notice to do so or to fix it;

18.2.1.3 we have reasonable grounds to believe that you are using the Transaction Platform illegally or fraudulently;

18.2.1.4 we have removed your BEE Verification status;

18.2.1.5 you have ended the Trading Entity Mandate or the Custody Entity Mandate (in which case you will no longer be a BEE Verified Person for the purposes of the Standard Trading Process);

18.2.1.6 the Trading Entity has ended the Trading Entity Mandate with you or the Custody Entity has ended the Custody Entity Mandate with you (in which case you will no longer be a BEE Verified Person for the purposes of the Standard Trading Process);

18.2.1.7 you do not comply with your duties under the Trading Entity Mandate or the Custody Entity Mandate and even after you have been given notice by the Trading Entity or the Custody Entity (as the case may be) to do so or to fix it, as allowed under the Trading Entity Mandate or the Custody Entity Mandate (as the case may be);

18.2.1.8 we must do this to comply with the law; or

18.2.1.9 a court or the Authority tells us to do this.

18.2.2 We will always act reasonably and in accordance with the law when requiring the Trading Entity and the Custody Entity to end your use of the Transaction Platform or to restrict you from accessing your Transaction Platform Account, and will give you a notice of this.

18.3 You can end your use of the Transaction Platform or cease being a BEE Verified Person for the purposes of the Standard Trading Process

You may at any time stop being a BEE Verified Person for the purposes of the Standard Trading Process and stop using the Transaction Platform by giving notice to us, the BEE Verification Agent, the Trading Entity in terms of your Trading Entity Mandate and the Custody Entity in terms of your Custody Entity Mandate. Should you no longer be a BEE

Verified Person for the purposes of the Standard Trading Process and stop using the Transaction Platform or any part of it, for example if you end the Trading Entity Mandate or the Custody Entity Mandate, you will then no longer be a BEE Verified Person for the purposes of the Standard Trading Process. Should you thereafter wish to buy, sell or otherwise transfer Shares, please check the Shareholder Platform Website for information on the different ways in which you may buy, sell or otherwise transfer Shares, and the different BEE Verification requirements which apply to the option you choose. You will need to again apply to be BEE Verified in respect of the way in which you choose in future to buy or sell Shares.

18.4 When the Trading Entity ends the Trading Entity Mandate or the Custody Entity Ends the Custody Entity Mandate

If the Trading Entity ends the Trading Entity Mandate or the Custody Entity Ends the Custody Entity Mandate, for example if you do not comply with your duties under the Trading Entity Mandate or the Custody Entity Mandate, you will no longer be a BEE Verified Person for the purposes of the Standard Trading Process. Should you then wish to buy or sell Shares, please check the Shareholder Platform Website for information on the different ways in which you may buy, sell or otherwise transfer Shares, and the different BEE Verification requirements which apply to the option you choose. You will need to again apply to be BEE Verified in respect of the way in which you choose in future to buy or sell Shares.

18.5 Terms which continue

Many provisions of these Terms continue after the contract between you and each of the Trading Entity and Custody Entity ends, your use of the Transaction Platform is suspended or the Transaction Platform ends. This is because certain rights and duties continue even though the agreements have ended or you have stopped using the Transaction Platform or you are no longer a BEE Verified Person for the purposes of the Standard Trading Process. These rights and duties continue by their very nature so it is not possible to list all the rights and duties that do continue.

18.6 Examples of terms which continue

Some of the terms (this is not a complete list) that continue include those where:

- 18.6.1 your claims against us that are excluded or limited, including the amounts you can claim from us;
- 18.6.2 the rights and remedies you have against us are limited or excluded;
- 18.6.3 you take on the responsibility for certain losses or damages that might happen;
- 18.6.4 you make promises, including those on the Application Form, the Verification Form, or the Change of Details Form; and
- 18.6.5 there are definitions or where it states how to interpret these Terms.

SECTION E: OUR RIGHTS, OBLIGATIONS AND LIMITS TO THE AMOUNTS THAT YOU MAY CLAIM AGAINST US

19 INTELLECTUAL PROPERTY RIGHTS

19.1 Our rights

19.1.1 We, our service providers (such as the BEE Verification Agent, our other agents, the Trading Entity, the Custody Entity or other relevant third parties (as applicable)) own or have the right to use any existing copyright in and to these Terms, all the software, icons, text, links, graphics, photographic images, sound clips, music, literary works, published editions, reports, computer programs, sound and television broadcasts, trade names, logos and trademarks (commonly referred to as "content and information") related to the Standard Trading Process, including the Application Process, the BEE Verification process and the Transaction Platform, or given in correspondence.

19.1.2 In law, this content and information is known as "**intellectual property**".

19.2 Limits to your rights

You do not get any rights of ownership or use in or to the intellectual property except for those rights, if any, expressly given to you in these Terms. You must not use the intellectual property in a way which we have not allowed in writing or in a way which we do not allow in these Terms.

20 TRANSFER OF RIGHTS

Note: transferring rights is known as cession and transferring duties (obligations) is known as delegation. In these Terms, "transfer" includes ceding rights and delegating duties.

20.1 You may not transfer your rights or duties

You may not transfer any of your rights or any of your duties under these Terms.

20.2 We may transfer our rights and our duties

As far as the law allows, you agree that we may transfer all or some of our rights or duties under these Terms to any third party without having to give you notice or get your consent when we do this.

21 LIMITS TO YOUR CLAIMS AGAINST US

This paragraph 21 (Limits to your claims against us) will only apply as far as the law allows it. The list and limitations of our responsibilities (liabilities) below is in addition to the other matters we do not accept responsibility (liability) for in these Terms.

PARAGRAPH 21 LIMITS YOUR CLAIMS AGAINST US AND IS IMPORTANT. IT:

- **limits or excludes your claims against us;**
- **limits or excludes the rights and remedies you have against us;**
- **excludes rights and remedies you have against us for certain losses or damages that might happen; and**
- **places liabilities and risk on you.**

There are many things that can go wrong on the Transaction Platform. We are not responsible (liable) to you for anything that goes wrong on the Transaction Platform, including what is listed below (as far as the law allows it). You use the Transaction Platform knowing and accepting that these things can go wrong and that there are risks and dangers.

You will also be responsible to us if others make claims against us for any reason relating to your use of the Transaction Platform.

Where you can claim money from us, the amount that you will be able to recover from us might be less than the actual loss or damages you may suffer. There are also certain types of losses or damages that you may suffer that you cannot claim from us at all.

21.1 Limit to your claims against us

You cannot claim from us for loss, claims or damages because of anything that happens in relation to the Transaction Platform or you using the Transaction Platform, including for the events and examples listed in paragraph 21.2 (Examples of claims, loss or damages) below. You may have rights against and duties to the Trading Entity (in terms of your Trading Entity Mandate) or the Custody Entity (in terms of your Custody Entity Mandate) with whom you have direct contractual arrangements. You cannot claim from us in respect of your arrangements with the Trading Entity and the Custody Entity.

21.2 Examples of claims, loss or damages

Examples of what can cause loss or these claims or damages that you cannot claim from us are the following:

- 21.2.1 you not complying with these Terms;
- 21.2.2 us or any of our agents not complying with laws of countries other than South Africa;
- 21.2.3 you acting or failing to act in using the BEE Verification process or the Transaction Platform;
- 21.2.4 the bank with which the Custody Entity maintains a bank account causing you loss or going bankrupt (becoming insolvent, winding up or being placed under business rescue or receivership or any similar event happens);
- 21.2.5 events happening due to circumstances beyond our reasonable control;
- 21.2.6 us or any of our agents being unable to perform our duties or responsibilities because of circumstances beyond our control;
- 21.2.7 links on the Shareholder Platform Website or the Transaction Platform Website to, and the content of, other websites;

- 21.2.8 a delay in sending or receiving the documents we reasonably need to verify you;
- 21.2.9 a delay or change in market conditions that happens before, during or after trading;
- 21.2.10 any loss or damage resulting from you buying or selling Shares through the Transaction Platform;
- 21.2.11 your Authorised Representative or any other person not giving you your money;
- 21.2.12 the actions of your Authorised Representative, including where your Authorised Representative fails to act;
- 21.2.13 fraud and other illegal use of the Transaction Platform or during the Application Process or the BEE Verification process;
- 21.2.14 faults, interruptions, downtime, delays or mistakes in the Shareholder Platform Website, the Transaction Platform Website or the Call Centre or any other part of the Transaction Platform;
- 21.2.15 failures or delays by people or companies who provide goods or services to us relating to the Shareholder Platform Website or the Call Centre or the technology that we use to communicate with you or a failure by the Trading Entity and/or the Custody Entity to perform their duties under the Trading Entity Mandate or the Custody Entity Mandate respectively;
- 21.2.16 the Transaction Platform or any part of it not being available;
- 21.2.17 viruses or other harmful features or content in the software of the Shareholder Platform Website, the Transaction Platform or the equipment used to provide the Transaction Platform; or
- 21.2.18 people not involved in YeboYethu interfering with the Transaction Platform or intercepting information sent by post, fax, SMS, email or through the Transaction Platform Website or the Shareholder Platform Website.

21.3 **No claims for indirect losses**

You may only claim direct financial loss (but excluding loss of profits) that you can prove we caused.

21.4 **Maximum amount you may claim from us**

- 21.4.1 If we are found to be liable to you for any reason or cause (despite the other provisions stated in these Terms), our maximum liability to you for all claims added together will not be more than the actual damages suffered by you.
- 21.4.2 What is stated in this paragraph 21 (Limits to your claims against us) applies to however the claims, losses, liability or damages were caused and no matter which area of law they come from.

22 **INTERPRETATION IN LINE WITH THE LAW**

22.1 **Excluding or limiting our responsibility**

These Terms limit or exclude your claims against us only to the extent that the law allows this. We do not exclude your claims for loss or damages caused directly or indirectly by our gross negligence or the gross negligence of any person acting for us, where the law does

not allow this. (Gross negligence is the serious lack of care in performing a legal duty to you.)

22.2 Your obligation to take on responsibility

These Terms may pass some of the responsibility for loss or damages, only as far as the law allows, on to you.

22.3 Excluding our obligations and duties

These Terms limit or exclude promises, guarantees or duties we have to you in law only to the extent that the law allows us to limit or exclude these promises, guarantees or duties. This applies even if the promises, guarantees and duties are not written in these Terms.

22.4 Excluding your legal rights

These Terms limit or exclude rights and remedies for claims that you have against us in law only to the extent that the law allows us to limit or exclude these rights and remedies. This applies even if the rights and remedies are not written in these Terms.

22.5 Interpretation in line with the law

We do not intend to break any laws in these Terms. The Terms must be interpreted so that they will not break the law and must be applied as far as the law allows. We refer specifically to the CP Act and the Electronic Communications Act, 36 of 2005 and laws about protecting Personal Information, which give rights and duties to both you and us.

SECTION F: CONTACT DETAILS AND NOTICES

23 NOTICES

23.1 Notices and messages we send to you

23.1.1 We may choose to send you notices either by SMS, email or post (but we will send you all legal documents in the way set out in paragraph 23.7 below).

23.1.2 There are various notices that we may send you by SMS. These include notices with messages about:

23.1.2.1 issues about your BEE Verification process or where we end the BEE Verification process;

23.1.2.2 updating the information about your BEE Status;

23.1.2.3 where we make the Trading Entity and the Custody Entity end or suspend the Transaction Platform or your use of the Transaction Platform; and

23.1.2.4 changes to these Terms.

23.1.3 You can ask to also receive these notices by email when you complete the BEE Verification process. If you do not initially select this option, you can ask for this option at any time by phoning the Call Centre.

23.2 Notices and messages to Authorised Representatives and Minors

23.2.1 If you are a Black Group, the notices that we send you by SMS will be sent to the cell number of your Authorised Representative. Whenever we refer to sending you an SMS, we are referring to an SMS that we send to your Authorised Representative (if you are a Black Group). A notice that we send to your Authorised Representative will be treated as if the whole Black Group has received it.

23.2.2 If you are a Minor, the notices that we send you by SMS will be sent to the cell number of your parent or guardian. Whenever we refer to sending you an SMS, we are referring to an SMS that we send to your parent or guardian (if you are a Minor). A notice that we send to your parent or guardian will be treated as if you also received it.

23.3 Deemed receipt

23.3.1 When we treat a notice as if you have received it by a certain date and time, it means we do not have to prove that you did receive it then. If you claim that you did not receive the notice by that date and time you will have to prove it, as far as the law allows. As far as the law allows, we will treat it as if you have received a notice:

23.3.1.1 on the date of delivery, if delivered by hand to your physical address;

23.3.1.2 10 days after posting, if sent by ordinary mail to your postal address;

23.3.1.3 on the date and time of sending, if sent to your fax number during Business Hours;

23.3.1.4 the next Business Day at the start of Business Hours, if sent to your fax number outside of Business Hours;

23.3.1.5 on the date and time of sending, if sent to your email address during Business Hours;

23.3.1.6 the next Business Day at the start of Business Hours, if sent to your email address outside of Business Hours; and

23.3.1.7 on the date and time of sending, if an SMS notice is sent to your cell phone number.

23.4 **Notice that you have actually received**

If you have actually received a notice, it will be valid delivery even if we did not send it to any of your chosen addresses.

23.5 **Address where we agree to accept legal documents and notices**

Legal documents include letters of demand, summonses, warrants to attach your property and other legal notices. We agree to accept legal documents that you send to us under these Terms at:

**Vodacom Corporate Park, 4th Floor
082 Vodacom Boulevard, Midrand 1685**

(This address is known in law as our *domicilium citandi et executandi*).

23.6 **Change of our address**

If we want to change the address where we agree to accept legal documents, we will tell you in writing by SMS or email or post. We will give you the new address where we agree to accept legal documents. Any new address must be a physical address in South Africa.

23.7 **Address where you agree to accept legal documents**

23.7.1 You agree to accept any legal documents under these Terms at the addresses you gave to us in the Application Process. (This address is known in law as your *domicilium citandi et executandi*.) But if you have changed your addresses by giving us a Change of Details Form, you agree to accept any legal documents under these Terms at the address in the most recent Change of Details Form that you have given us.

23.8 **Change to your address**

If you want to change the addresses where you agree to accept legal documents, you must tell us in writing by completing and giving us a Change of Details Form and required Supporting Documents with the new addresses. Any new address must be a physical address in South Africa.

24 **DETAILS**

24.1 **Call Centre details**

Tel: 082 241 0001 or 010 285 0090

24.2 **Shareholder Platform Website**

www.yeboyethushares.co.za

24.3 **Transaction Platform Website**

www.yeboyethushares.tradedesk.co.za

SECTION G: GENERAL TERMS

25 WHOLE AGREEMENT

These Terms, as may be amended, make up the entire agreement between you and us relating to the BEE Verification process. As far as the law allows, neither you nor we are legally obliged to comply with any term, condition, undertaking, representation, or promise relating to the BEE Verification process or these Terms that is not included in these Terms.

26 LOSING CERTAIN OF YOUR RIGHTS

As far as the law allows, neither you nor we will lose any rights under these Terms if you or we do not exercise them immediately or each time that such rights could be exercised.

27 EACH PROVISION IS SEPARATE

Each provision of these Terms, and each part of any provision, is removable and separate from the others. As far as the law allows, if any provision of these Terms, or part of a provision, becomes unenforceable, illegal or invalid, it must be treated as if it was not included in these Terms. The rest of these Terms will still be valid and enforceable.

28 SOUTH AFRICAN LAW APPLIES TO THESE TERMS

The laws of South Africa will apply to and govern these Terms.

ANNEXURE 1

INTERPRETATION AND DEFINITIONS

29 INTERPRETING THIS DOCUMENT

When reading and applying (interpreting) these Terms, the following rules will apply:

29.1 Gender

Words in any particular gender include the other genders (male, female and neutral). Reference to a neutral gender (for example "**they**" or "**it**") include all genders.

29.2 Singular and plural

Words in the singular include the plural and the other way around.

29.3 Different forms of the same word

Different grammatical forms of the same word have a corresponding (similar or matching) meaning. (For example, "**to apply**", "**applying**" and "**application**").

29.4 Headings

Headings are aids to reading and understanding these Terms. They are not terms or conditions themselves. Headings do not limit or extend the meaning or application of these Terms.

29.5 Rights and remedies

There may be reference to the legal concepts of rights and remedies in these Terms. Rights are interests protected by law. Remedies are the ways available in law to enforce or protect rights or to get compensation.

29.6 General words are not limited

29.6.1 The word "**including**" or "**include**" or "**includes**" must not be interpreted as limited to the list following the word or excluding other items from a list following the word. The word:

29.6.1.1 "**including**" means "**including but not limited to**";

29.6.1.2 "**include**" means "**include but is not limited to**"; and

29.6.1.3 "**includes**" means "**includes but is not limited to**".

29.6.2 Where there is a list of specific things that belong together to describe a general word or phrase, the general word or phrase can have other meanings and can include others. The general word or phrase must not be interpreted to only apply to those specific things or things similar to them. For example, when we say a person must comply with all laws, including the CP Act, we mean that they must comply with all laws, and not only the CP Act and not only similar laws or laws that cover the same topics.

29.7 Calculating days

Where any number of days is given, those days are counted to exclude the first day but include the last day.

29.8 Reference to laws or documents

When there is reference to a law or document to a section of a law or document, we mean that law or section of that law as amended, repealed or replaced.

29.9 Loss or damages

We may refer to legal concepts called loss or damages in this document. Here are some examples of the type of loss or damages that you could suffer:

29.9.1 losing money;

29.9.2 the value of your Shares going down;

29.9.3 someone bringing a claim against you;

29.9.4 money you have to pay us or someone else as compensation;

29.9.5 you suffering harm; or

29.9.6 money being paid to the wrong person.

29.10 List of Definitions

29.10.1 "**Annexure**" means an Annexure attached to these Terms;

29.10.2 "**Applicant**" means a member of the Black public who does not already own Shares, and who applies to us to confirm that they are an Eligible Person;

29.10.3 "**Application Form**" means:

29.10.3.1 for a Black Person, the form called the "Application Form (Black People)" that we require a Black Person to complete and sign as part of the Application Process; and

29.10.3.2 for a Black Group, the form called the "Application Form (Black Groups)" that we require a Black Group to complete and sign as part of the Application Process;

which can be obtained on the Shareholder Platform Website or via the Call Centre (during Business Hours), where you can request that a copy of the form be sent to you;

29.10.4 "**Application Process**" means the process that we require an Applicant to follow to apply for confirmation from the BEE Verification Agent that such Applicant is an Eligible Person;

29.10.5 "**Authorised Representative**" means the individual appointed and authorised by the Black Group to act on behalf of the Black Group;

29.10.6 "**Authorised User**" has the meaning given to this term in the FM Act;

- 29.10.7 **"Authority"** means:
- 29.10.7.1 the Financial Services Board, a regulatory body established in terms of section 2 of the Financial Services Act, 97 of 1990;
- 29.10.7.2 the Independent Communications Authority of South Africa, a regulatory body established in terms of section 3 of the Independent Communications Authority of South Africa Act, 13 of 2000;
- 29.10.7.3 any government or governmental, administrative, fiscal or judicial authority, body, court, department, commission, tribunal, registry or any state owned, controlled or legislatively constituted authority which principally performs public, governmental or regulatory functions and/or which is responsible for applying national security, foreign investment, exchange control, telecommunications, merger control or other competition or antitrust legislation or regulations, in South Africa; and
- 29.10.7.4 any minister, department, office, commission, delegate, instrumentality, agency, board, authority or organisation of any government or any state-owned enterprise in South Africa;
- 29.10.8 **"BEE"** means black economic empowerment as contemplated in the BEE Act, the BEE Codes and BEE ICT Code;
- 29.10.9 **"BEE Act"** means the Broad-Based Black Economic Empowerment Act, 53 of 2003 and any regulations and codes of good practice published thereunder (including the BEE Codes);
- 29.10.10 **"BEE Codes"** means the Broad-Based Black Economic Empowerment Codes of Good Practice gazetted under the BEE Act;
- 29.10.11 **"BEE ICT Code"** means the Information and Communication Technology Sector Code published in terms of Section 9(1) of the BEE Act;
- 29.10.12 **"BEE Listing"** means the listing of Shares on the BEE Segment;
- 29.10.13 **"BEE Listing Period"** means the period commencing on the date upon which the Shares are listed on the BEE Segment and ending on the date on which the BEE Listing terminates for any reason;
- 29.10.14 **"BEE Ownership Certificate"** means a BEE ownership certificate based on a Black Group's current shareholding, issued within the previous 12 months by a South Africa National Accreditation System authorised BEE verification rating agency provided that, an Exempt Micro Enterprise (as defined in the BEE Codes) may submit a sworn affidavit recording its shareholding;
- 29.10.15 **"BEE Ownership Document"** in relation to a Black Group, means a declaration of its BEE Status, which declaration must be dated and signed by the Authorised Representative and must be accompanied by:
- 29.10.15.1 a list of all of the Black People who, both directly or indirectly, hold an Effective Interest in the Black Group (including their names, identity numbers, nationality, gender and effective percentage economic (and, if different, voting) interest held). Indirect interests held by Black People require a detailed organogram; and
- 29.10.15.2 the green bar-coded South African Identity Document or card issued by the South African Department of Home Affairs or valid passport showing the South African identity number of all of the Black People who, both directly or indirectly, hold an Effective Interest in the Black Group;

- 29.10.16 "**BEE Securities**" has the meaning given to this term in the Listings Requirements;
- 29.10.17 "**BEE Segment**" means a segment of the JSE's main board where an issuer may list its BEE Securities;
- 29.10.18 "**BEE Status**" means in relation to a Black Group, the Black Group's percentage ownership and percentage economic interest held by Black People (by shareholding, membership, beneficiary interest or other comparable interest, as the case may be, having regard to the juristic nature of the relevant Black Group) and the Black Group's percentage representation by Black People at board or trustee or other similar governing body and, in relation to a natural person, whether or not that person qualifies as a Black Person;
- 29.10.19 "**BEE Verification**" means the verification of a person by the BEE Verification Agent, which verification must conclude, among other things, that:
- 29.10.19.1 the person is an Eligible Person;
- 29.10.19.2 such Eligible Person has been notified of the necessary restrictions, limitations and requirements applicable to such Shares from time to time as set out in the YeboYethu MOI and the Transaction Agreements, and has agreed to be bound by the provisions thereof; and
- 29.10.19.3 such Eligible Person has accepted the Trading Terms and Conditions and has signed all documents and contracts required in terms of the Trading Terms and Conditions;
- 29.10.20 "**BEE Verification Agent**" means the person appointed by YeboYethu from time to time to fulfil the role of BEE Verification Agent (as contemplated in clause 4.32 of the Listings Requirements), being Velocity;
- 29.10.21 "**BEE Verified Persons**" means, during the BEE Listing Period, any Eligible Person which YeboYethu (or its nominee, delegate or agent) has notified as having successfully completed the BEE Verification, and whose BEE Status has not expired or been withdrawn in accordance with the Trading Terms and Conditions;
- 29.10.22 "**Black Company**" means an entity which is both a "B-BEE-owned Company" and a "B-BEE-controlled Company" (as each of such terms are defined in the BEE Codes);
- 29.10.23 "**Black Entity**" means a vesting trust which qualifies for recognition under the BEE Codes, a broad-based ownership scheme, a close corporation, or an unincorporated entity or association, including a partnership, joint venture, syndicate or stokvel, as may be determined by Vodacom SA in its sole discretion as an entity or association which may enable Vodacom SA to claim BEE scorecard points pursuant to the BEE Codes;
- 29.10.24 "**Black Group**" means a Black Company or a Black Entity;
- 29.10.25 "**Black People**" or "**Black Person**" has the meaning ascribed to it under Code 000 of the BEE Codes, which current meaning is summarised as follows for convenience, and should not be construed as an interpretation of the BEE Codes: Africans, Coloureds (including Chinese) and Indians who are natural persons and who are South African citizens by: (i) birth or descent; or (ii) naturalisation occurring (a) prior to 27 April 1994, being the commencement date of the Constitution of the Republic of South Africa of 1993; or (b) after that date but who would have qualified for naturalisation prior to that date if it were not for the apartheid policies in place in South Africa, and "**Black**" shall be construed accordingly;
- 29.10.26 "**Business Day**" means any day other than a Saturday, Sunday or gazetted national

public holiday in South Africa;

- 29.10.27 "**Business Hours**" means the hours from 09h00 to 17h00 on a Business Day;
- 29.10.28 "**Call Centre**" means the call centre established and operated by the BEE Verification Agent for purposes of providing services related or incidental to the BEE Verification which can be contacted at the phone number: 082 241 0001 or 010 285 0090;
- 29.10.29 "**Call Centre Agent**" means the person that helps you when you phone the Call Centre;
- 29.10.30 "**Change of Details Form**" means:
- 29.10.30.1 for a Black Person, the form called the "Change of Details Form (Black People)" that we require a Black Person to sign as part of the change of details process; and
- 29.10.30.2 for a Black Group, the form called the "Change of Details Form (Black Groups)" that we require a Black Group to sign as part of the change of details process,
- which can be obtained on the Shareholder Platform Website or via the Call Centre (during Business Hours), where you can request that a copy of the form be sent to you;
- 29.10.31 "**CP Act**" means the Consumer Protection Act, 71 of 2008;
- 29.10.32 "**Custody Entity**" means Link Investor Services, acting through its nominee and wholly-owned subsidiary, Pacific Custodians (Nominees) (RF) Proprietary Limited, registration number 2014/113298/07, a private company incorporated in accordance with the laws of South Africa;
- 29.10.33 "**Custody Entity Mandate**" means the agreement entered into between you and the Custody Entity in respect of the services rendered by the Custody Entity to you relating to your Shares;
- 29.10.34 "**Effective Interest**" means any one or more of a direct or indirect ownership, economic or control interest, measured on a fully diluted basis and without any deeming rule or deeming provision being applied;
- 29.10.35 "**Eligible Person**" means individually and collectively, Black People and Black Groups;
- 29.10.36 "**ESOP**" means the trustees for the time being of the YeboYethu Employee Participation Trust (Gauteng High Court Local Division, Johannesburg, Master's Reference Number 2065/2008), a trust duly constituted in accordance with the laws of South Africa, and created by Vodacom SA for the benefit of eligible employees;
- 29.10.37 "**FM Act**" means the Financial Markets Act, 19 of 2012;
- 29.10.38 "**Implementation Date**" means 8 October 2008;
- 29.10.39 "**JSE**" means as the context requires, either JSE Limited, registration number 2005/022939/06, a public company incorporated in accordance with the laws of South Africa and licensed to operate an exchange under the FM Act, or the securities exchange operated by that company;
- 29.10.40 "**JSE Member**" means an equities member, which is a category of Authorised User admitted to membership of the JSE;
- 29.10.41 "**Legal Capacity**" means the right and ability to enter into valid agreements, considering things like age, marriage status, mental status and financial status;

- 29.10.42 "**Link Investor Services**" means Link Investor Services Proprietary Limited, registration number 2011/001308/07, a private company incorporated in accordance with the laws of South Africa;
- 29.10.43 "**Listings Requirements**" means the JSE Listings Requirements;
- 29.10.44 "**Lock-In Period**" means the period commencing on the Implementation Date and terminating on the earlier of:
- 29.10.44.1 the tenth anniversary of the Implementation Date (both dates included); or
- 29.10.44.2 the date (if ever) upon which the BEE requirements applicable to Vodacom SA and its subsidiaries have been amended by legislation (or other applicable law or regulation or practice having the effect of law, including without limitation the BEE ICT Code or similar code or charter once it is promulgated as a sectoral code in terms of section 9 of the BEE Act) having the effect (in the sole and absolute discretion of the board of directors of Vodacom Group, after having considered representations which YeboYethu, RBH-CO and Thebe-CO may have made in this regard, but without being bound thereby) that the continued ownership of Vodacom SA Shares by YeboYethu, RBH-CO and Thebe-CO or a person or entity to whom any of the aforementioned shareholders wish to transfer their shareholdings, is no longer required for BEE purposes, and no longer results in a BEE benefit for Vodacom SA and its subsidiaries (whether as a result of a once-empowered always-empowered rule or otherwise),
- it being recorded for the avoidance of doubt that this may have the effect that the Lock-In Period expires in respect of certain (but not necessarily all) of the Vodacom SA Shares held by YeboYethu and/or RBH-CO and Thebe-CO;
- 29.10.45 "**Minor**" means a Black Person who is younger than 18 years old;
- 29.10.46 "**Off-Market Transfer**" means any sale, purchase or other transfer of Shares not reported through an Authorised User;
- 29.10.47 "**Off-Market Transfers Process**" means the process of purchasing and/or transferring Shares by a BEE Verified Person pursuant to an Off-Market Transfer, as contemplated in the Off-Market Transfers Process Terms and Conditions;
- 29.10.48 "**Off-Market Transfers Process Terms and Conditions**" means the document entitled "*YeboYethu Off-Market Transfers Process: Verification Requirements, Terms and Conditions*" which shall be made available at www.yeboyethushares.co.za, which document records the terms, conditions, restrictions and limitations applicable to each BEE Verified Person who elects to purchase, holds and/or transfer any Shares in terms of the Off-Market Trading Process, and which are acknowledged and accepted by such BEE Verified Person during the BEE Verification process;
- 29.10.49 "**Order**" means an offer made by a buyer or seller through the Transaction Platform to buy or sell Shares;
- 29.10.50 "**OTC Trading Platform**" means the over-the-counter trading platform facilitated by YeboYethu specifically for the purposes of facilitating trading in Shares by and among Eligible Persons;
- 29.10.51 "**OTP**" means a one-time pin that is sent by us to your, your parent or guardian's (if you are a Minor) or your Authorised Representative's (if you are a Black Group) cell number;
- 29.10.52 "**Own-Broker Trading Process**" means the process of purchasing, holding and/or transferring (including by way of trading) Shares from time to time in accordance with

the YeboYethu BEE Contract and Own-Broker Trading Process Terms and Conditions (and not, for the avoidance of doubt), in accordance with the Standard Trading Process);

- 29.10.53 **"Own-Broker Trading Process Terms and Conditions"** means the document entitled *"YeboYethu Own-Broker Trading Process: Verification Requirements, Terms and Conditions"* which shall be made available at www.yeboyethushares.co.za, which document records the terms, conditions, restrictions and limitations applicable to each BEE Verified Person who elects to purchase, holds and/or transfer any Shares in terms of the Own-Broker Trading Process, and which are acknowledged and accepted by such BEE Verified Person during the BEE Verification process;
- 29.10.54 **"Personal Information"** has the meaning ascribed to it in the Privacy Policy;
- 29.10.55 **"Privacy Policy"** means the privacy policy annexed to these Terms as Annexure 2;
- 29.10.56 **"Rand"** or **"R"** means the South African rand, the official currency of South Africa;
- 29.10.57 **"RBH"** means Royal Bafokeng Holdings Proprietary Limited, registration number 2006/006906/07, a private company duly incorporated in accordance with the laws of South Africa;
- 29.10.58 **"RBH-CO"** means Lisinfo 209 Investments (Proprietary) Limited, registration number 2008/007293/07, a private company duly incorporated in accordance with the laws of South Africa and, as at the Implementation Date, a wholly-owned subsidiary of RBH-TELCO;
- 29.10.59 **"RBH-TELCO"** means RBH Telecom Holdings (Proprietary) Limited, registration number 2006/006958/07, a private company duly incorporated in accordance with the laws of South Africa;
- 29.10.60 **"Registration Process"** means, with regard to the Standard Trading Process, the process which you undertake in accordance with the provisions of this Custody Entity Mandate and Trading Entity Mandate to register to use the Transaction Platform, and **"Register"** will have a similar meaning;
- 29.10.61 **"Relevant Market Participant"** means a JSE Member, registered holder, Central Securities Depository Participant (as per the FM Act) and/or relevant intermediary, as the context may require, that is required to enter into the YeboYethu BEE Contract with you;
- 29.10.62 **"Securities Register"** means the record of shareholders of Shares and the details that apply to their shareholding, which is maintained by Strate Proprietary Limited, registration number 1998/022242/07, a private company licensed as a central securities depository in terms of the FM Act, in respect of uncertificated Shares and by the Transfer Secretaries regarding certificated Shares;
- 29.10.63 **"Shareholder Platform Website"** means the website established by us for the operation of the platform for trading Shares accessible at: www.yeboyethushares.co.za;
- 29.10.64 **"Shares"** means ordinary shares having with a par value of R0.00001 each in the share capital of YeboYethu bearing the rights set out in the YeboYethu MOI;
- 29.10.65 **"South Africa"** means the Republic of South Africa;
- 29.10.66 **"Standard Trading Process"** means the process of purchasing, selling, holding and/or transferring Shares from time to time in terms of which you enter into a contractual custody arrangement with the Custody Entity (who shall act as registered shareholder) and a contractual trading arrangement with the Trading Entity for the purposes of

effecting transfers of your Shares through the JSE's trading processes, as contemplated in these terms and conditions;

- 29.10.67 **"Standard Trading Process Terms and Conditions"** means this document, which records the terms, conditions, restrictions and limitations applicable to each Eligible Person who elects to purchase, sell, hold and/or transfer any Shares in terms of the Standard Trading Process, and which are acknowledged and accepted by such Eligible Person during the BEE Verification process;
- 29.10.68 **"Supporting Documents"** in relation to the Application Process, the BEE Verification process and the change of details process, means all documents which we require you to provide. For details on such supporting documents, please refer to Annexure 3 (Supporting Documents) to these Terms or the YeboYethu website (www.yeboyethushares.co.za) or the Transaction Platform Website or you may call the Call Centre (during Business Hours) and request that copies be sent to you;
- 29.10.69 **"Terms"** means the terms and conditions set out in this document, together with any Annexures hereto;
- 29.10.70 **"Thebe"** means Thebe Investment Corporation (Proprietary) Limited registration number 1992/001846/07, a private company duly incorporated in accordance with the laws of South Africa;
- 29.10.71 **"Thebe-CO"** means Main Street 661 (Proprietary) Limited, registration number 2008/003181/07, a private company duly incorporated in accordance with the laws of South Africa;
- 29.10.72 **"Trading Entity"** means Velocity;
- 29.10.73 **"Trading Entity Mandate"** means the contract between you and the Trading Entity;
- 29.10.74 **"Trading Terms and Conditions"** means the terms and conditions, restrictions and limitations applicable to each BEE Verified Person and acknowledged and accepted by such BEE Verified Person during the BEE Verification process, being as the context may require:
- 29.10.74.1 the Standard Trading Process Terms and Conditions;
- 29.10.74.2 the Own-Broker Trading Process Terms and Conditions; or
- 29.10.74.3 the Off-Market Transfers Process Terms and Conditions;
- 29.10.75 **"Transaction Agreements"** means the:
- 29.10.75.1 Shareholders Agreement dated 24 July 2013, in terms of which the relationship between the shareholders of Vodacom SA, being RBH-CO, Thebe-CO, Vodacom Group and YeboYethu, is governed;
- 29.10.75.2 Relationship Agreement means the agreement entitled "Relationship Agreement" concluded between Vodacom Group, Vodacom SA, RBH, RBH-CO, RBH-TELCO, Thebe, Thebe-CO, the ESOP and YeboYethu on 20 June 2008; and
- 29.10.75.3 Subscription Agreement executed between Vodacom SA, Vodacom Group and YeboYethu dated 20 June 2008;
- 29.10.76 **"Transaction Platform"** means the platform established by the Trading Entity and the Custody Entity, through which they shall render Transaction (order placement) services

and custody and settlement services respectively to Eligible Persons who have elected to participate in the Standard Trading Process, comprising the online Transaction Platform Website and the Call Centre, and on which each such Eligible Person shall have a Transaction Platform Account;

- 29.10.77 **"Transaction Platform Account"** means the record the Custody Entity keeps of money received from you and paid to you based on your activities and transactions on the Transaction Platform;
- 29.10.78 **"Transaction Platform Website"** means the online component of the Transaction Platform which is accessible through the URL: www.yeboyethushares.tradedesk.co.za;
- 29.10.79 **"Transfer Secretaries"** means Link Market Services South Africa Proprietary Limited, registration number 2000/007239/07, a private company incorporated in accordance with the laws of South Africa;
- 29.10.80 **"Velocity"** means Velocity Trade Financial Services Proprietary Limited, registration number 2010/010415/07, a private company incorporated in accordance with the laws of South Africa;
- 29.10.81 **"Verification Form"** means:
- 29.10.81.1 for a Black Person, the form called the "Verification Form (Black People)" that we require a Black Person to complete and sign as part of the BEE Verification process; and
- 29.10.81.2 for a Black Group, the form called the "Verification Form (Black Groups)" that we require a Black Group to complete and sign as part of the BEE Verification process, which can be obtained on the Shareholder Platform Website or via the Call Centre (during Business Hours), where you can request that a copy of the form be sent to you;
- 29.10.82 **"Vodacom Group"** means Vodacom Group Limited, registration number 1993/005461/06, a public company incorporated in accordance with the laws of South Africa;
- 29.10.83 **"Vodacom SA"** means Vodacom Proprietary Limited, registration number 1993/003367/07, a private company incorporated in accordance with the laws of South Africa;
- 29.10.84 **"Vodacom SA Shares"** shares in the share capital of Vodacom SA;
- 29.10.85 **"YeboYethu"** means YeboYethu (RF) Limited, registration number 2008/014734/06, a public company incorporated in accordance with the laws of South Africa;
- 29.10.86 **"YeboYethu BEE Contract"** means the contract referred to in the Own-Broker Trading Process Terms and Conditions which an Eligible Person is required to enter into with YeboYethu and the relevant Market Participants should he/she/it choose to hold, purchase and/or transfer Shares in accordance with the Own-Broker Trading Process (this is a bespoke contract required in respect of the Shares and is distinct from the agreement referred to in the Listings Requirements as a "BEE contract"); and
- 29.10.87 **"YeboYethu MOI"** means the memorandum of incorporation of YeboYethu.

ANNEXURE 2

PRIVACY POLICY

30 USE OF PERSONAL INFORMATION AND TELEPHONE RECORDINGS

We may use personal information and telephone recordings only for specific reasons set out in this Annexure 2 and in the other ways that the law allows.

30.1 What is Personal Information

"**Personal Information**" is information about you, your parent or guardian (if you are a Minor), your Authorised Representative, your contact person and members of your Black Group. Personal information includes information about:

- 30.1.1 identity, name, birth date and gender;
- 30.1.2 BEE Status;
- 30.1.3 ID Number or registration number;
- 30.1.4 bank account details;
- 30.1.5 financial affairs and business affairs;
- 30.1.6 dealings in Shares;
- 30.1.7 physical address, postal address, email address, phone number and other contact details;
- 30.1.8 country of citizenship;
- 30.1.9 children;
- 30.1.10 dependents and family members;
- 30.1.11 answers to security questions; and
- 30.1.12 the way you or your Authorised Representative use the Transaction Platform.

30.2 How we get Personal Information

We may receive Personal Information from you, your parent or guardian (if you are a Minor) and your Authorised Representative (if you are a Black Group) may give Personal Information to us or we may receive it from third parties (including from the Trading Entity and/or the Custody Entity). We may receive Personal Information in many ways, these include the information we get when:

- 30.2.1 you, your parent or guardian (if you are a Minor) or your Authorised Representatives (if you are a Black Group) apply for you to become a BEE Verified Person;
- 30.2.2 you, your parent or guardian (if you are a Minor) or Your Authorised Representative (if you are a Black Group) give us an Application Form (Black People), an Application Form (Black Groups), a Verification Form (Black Groups) or a Change of Details Form or other information;

30.2.3 you, your parent or guardian (if you are a Minor) or your Authorised Representative (if you are a Black Group) give us information or Supporting Documents we may ask for; or

30.2.4 you, your parent or guardian (if you are a Minor) or your Authorised Representative (if you are a Black Group) use the Transaction Platform (whether the Transaction Platform Website or the Call Centre) or contact us, the BEE Verification Agent, the Transfer Secretaries or the Custody Entity.

30.3 **We may record all telephone calls**

You agree that we may record all telephone calls to and from the Call Centre.

30.4 **What we can use Personal Information and telephone recordings for**

30.4.1 As far as the law allows, you give us permission to use Personal Information and all telephone recordings for all purposes relating to the Standard Trading Process, including the Application Process, the BEE Verification process and the Transaction Platform.

30.4.2 You also give us permission to use Personal Information and telephone recordings in other ways that the law allows.

30.5 **Permission and how we use your Personal Information**

As far as the law allows, you agree and give us permission to:

30.5.1 check Personal Information against the records of third parties (for example, to check that the bank account details you give are for a bank account in your name);

30.5.2 share the contents of the Securities Register (which includes some Personal Information) with other YeboYethu shareholders or the public;

30.5.3 collect, get, receive, record, organise, collate, store, update, change, retrieve, read, process, use and share all of the Personal Information and telephone recordings. We may do this for all purposes relating to the Standard Trading Process, including the Application Process, the BEE Verification process and the Transaction Platform;

30.5.4 share the Personal Information and telephone recordings with other people when performing any activities related to the Standard Trading Process, including the Application Process, the BEE Verification process and the Transaction Platform (these other people could be people who provide services to us or the BEE Verification Agent as well as the Transfer Secretaries and the Custody Entity);

30.5.5 share the Personal Information and telephone recordings with the Trading Entity and the Custody Entity, and for the Trading Entity and the Custody Entity to share your Personal Information between themselves. The permission you give in this paragraph 30.5.5 is given as a *stipulatio alteri* in favour of the Trading Entity and the Custody Entity (this means that, if the Trading Entity and the Custody Entity accept the rights under this paragraph, your permission is given in favour of them and that they may enforce their rights pursuant to such permission, even though they are not a party to these Terms);

30.5.6 transfer or store, or both transfer and store, Personal Information and telephone recordings outside South Africa while we are performing the activities referred to above;

30.5.7 do any of the activities above outside of South Africa with Personal Information and telephone recordings;

- 30.5.8 appoint and allow people who provide goods or services to us or the BEE Verification Agent to perform any of the activities referred to in this Annexure 2 (Privacy Policy) for us. This also includes the Transfer Secretaries we use to maintain the Securities Register for us and the Custody Entity;
- 30.5.9 allow Vodacom SA and their advisers to perform any of the activities referred to in this Annexure 2 (Privacy Policy) for all purposes related to the Standard Trading Process, including the Application Process, the BEE Verification process and the Transaction Platform;
- 30.5.10 share Personal Information and telephone recordings with any Authority when required to do so by law; and
- 30.5.11 use Personal Information and the telephone recordings in all other ways that the law allows.

30.6 **You may ask us to correct your Personal Information**

You may ask us at any time to amend or confirm any Personal Information if it is wrong or out of date. Please phone the Call Centre if you need help with this. See also paragraph 14 (Changing, correcting and completing details) contained in Section B (How to Complete the BEE Verification process), for more information about the change of details process.

30.7 **You give up your rights**

- 30.7.1 You agree that where the law requires us to make you, your parent or guardian (if you are a Minor), your Authorised Representative (if you are a Black Group) or members of your Black Group aware of something (to inform or notify you) or to do something else, we do not have to do this. This only applies as far as the law allows this.
- 30.7.2 For example, sometimes the law says that we have a duty to make you aware of some information or other matters, unless you agree that we do not need to do these things (this is called a waiver of rights). Because you agree to this, we will not have this duty anymore and will not need to make you aware of the information or other matters.
- 30.7.3 You give us this agreement for you, your parent or guardian (if you are a Minor), your Authorised Representatives (if you are a Black Group) and the members of your Black Group.

PARAGRAPH 30.7 IS IMPORTANT AS IT:

- **limits or excludes our legal duties to you;**
- **makes you give up or limits rights you have in law; and**
- **makes you take on liability and risks.**

Because you give up your rights, and we do not have to perform our duties, you may not become aware of information that you may need to protect yourself. You also may not be able to exercise your other rights (such as your right to privacy). You will not be able to make any claim against us if you suffer loss or damages because we did not give you certain information, or because we did not perform our duties.

30.8 **Confirmation of your ability**

- 30.8.1 You promise that you have the ability to allow us to do all these things with the Personal Information and telephone recordings on behalf of your Authorised Representatives, and members of your Black Group (this includes all the things that we say we will do

and which you give us permission to do in this Annexure 2 (Privacy Policy)).

30.8.2 You also promise that you have the ability to agree to give up your rights and the rights of the Authorised Representative and of the members of your Black Group, in the way set out in paragraph 30.7 (You give up your rights) in this Annexure 2 (Privacy Policy).

30.8.3 As far as the law allows, if your Authorised Representative, contact person or a member of your Black Group claims for loss or damages because you did not have the ability mentioned above, we may recover any loss or damages we suffer because of these claims from you.

PARAGRAPH 30.8 IS IMPORTANT AS IT:

- **limits or excludes your claims against us;**
- **limits or excludes your rights and remedies against us; and**
- **makes you take on liability and risks**

You give us permission to collect, get, receive, record, organise, collate, store, update, change, retrieve, read, process, use and share your Personal Information and the Personal Information of your parent or guardian (if you are a Minor), Authorised Representative (if you are a Black Group), and members of your Black Group in the way described above.

You know and accept that you are giving up your privacy rights and the privacy rights of your parent or guardian (if you are a Minor), Authorised Representative (if you are a Black Group), contact persons and members of your Black Group. This means that you cannot claim against us for using your Personal Information in the way that you have given us permission, even if you or others suffer loss or damages.

You will also be responsible for any loss or damage we suffer because you did not have the ability and where we relied on your promise that you had authority. For example, if your Authorised Representative, or members of your Black Group, take action against us for using or disclosing their Personal Information.

30.9 Limits to your claims against us

30.9.1 As far as the law allows, we are not responsible (liable) if anyone shares or uses your Personal Information or the telephone recordings without our permission or the correct permission.

30.9.2 As far as the law allows, if your Authorised Representative, or a member of your Black Group, claims for loss or damages because anyone shares or uses Personal Information or telephone recordings without our permission or the correct permission, we may recover any loss or damages we suffer because of these claims from you.

PARAGRAPH 30.9 IS IMPORTANT AS IT:

- **limits or excludes your claims against us;**
- **limits or excludes your rights and remedies against us; and**
- **makes you take on liability and risks.**

It can be dangerous to share Personal Information and to allow the use of Personal information. You agree to share Personal Information with us and accept the risks involved in this.

For example, someone may be able to steal your identity, give your Personal Information to other people, or use your information in a way that could cause loss or damages.

You cannot claim from us when these things happen or when Personal Information is used by someone without permission.

We may also have claims against you and you might have to pay us for any loss or damages we suffer from claims by your Authorised Representative, or a member of your Black Group, because of the unauthorised use of Personal Information.

ANNEXURE 3**SUPPORTING DOCUMENTS**

Supporting Documents required for Black People and Black Groups wishing to:

- complete the Application Process;
- complete the BEE Verification process; and/or
- change their details.

B. BLACK PEOPLE

- **If you are an Applicant (you do not already own Shares and you are applying to confirm whether you are an Eligible Person), refer to paragraph 1 below. Then proceed to the BEE Verification process in paragraph 2 below. Then, depending on the method of transacting chosen, you will be required to submit the Supporting Documents in paragraph 3 below.**
- **If you already hold Shares, refer to paragraph 2 below. Then, depending on the method of transacting chosen, you will be required to submit the Supporting Documents in paragraph 3 below.**
- **If you already hold Shares and wish to change the details that we have for you, refer to paragraph 4 below.**
- **PLEASE NOTE: If you are signing the Application Form, the Verification Form or the Change of Details Form in a representative capacity, you will be required to submit the Supporting Documents in paragraph 5 below.**

1. Application Process (Black People)

The Applicant must provide the following Supporting Documents:

Identity document	The green bar-coded South African Identity Document or passport reflecting the South African identity number or a certified copy.
Affidavit	An affidavit attached as Schedule 1 to the Application Form, signed by a Black Person confirming he/she is a Black Person (or signed by a parent or guardian of the Applicant, confirming that the Applicant is a Black Person).
Bank Account Details	If you intend to use the Standard Trading Process, provide details of the Applicant's active transactional bank account into which YeboYethu may pay purchase prices, dividends and any other payments. Obtain a stamp on the Application Form from the Applicant's bank verifying the transactional bank account details.
Proof of physical address	Provide a certified copy of any one of the following documents reflecting the Applicant's name and physical address and unless otherwise stated, these documents must be less than 3 months old: <ul style="list-style-type: none"> • utility bill; • current lease or rental agreement reflecting that you are the tenant; • bank statement; • municipal rates and taxes invoice; • current copy of valid television licence; • mortgage bond statement; • land-line or cellular telephone account; • tax return (less than 1 year old); • correspondence from a body corporate or shareblock association reflecting you as owner/tenant/occupant; • payslip or salary advice; or • letter from a tribal chief where you live. If the Applicant is a Minor and the proof of residential address is in the name of the parent or guardian, the parent or guardian must provide a declaration stating that the Minor is residing at the relevant address.

2. BEE Verification process (Black People)

All Black People must provide the following Supporting Documents to the extent not already provided as part of the Application Process, or otherwise:

Identity Document	Provide a certified copy of any one of the following documents:
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Affidavit	<ul style="list-style-type: none"> • the green bar-coded South African identity document; • the South African smart identity card; or • a valid passport reflecting the Black Person's South African identity number. <p>An affidavit attached as Schedule 1 to the Verification Form (Black People), signed by a Black Person confirming he/she is a Black Person (or signed by a parent or guardian of the Black Person, confirming that he/she is a Black Person).</p>
Bank account details	<p>If you intend to use the Standard Trading Process, provide details of the Black Person's active transactional bank account into which the Custody Entity (when using the Standard Trading Process) may pay purchase prices, dividends and any other payments. Obtain a stamp on the Verification Form (Black Person) from the Black Person's bank verifying the transactional bank account details.</p>
Proof of residential address	<p>Provide a certified copy of any one of the following documents reflecting the Black Person's name and residential address and unless otherwise stated, these documents must be less than 3 months old:</p> <ul style="list-style-type: none"> • utility bill, such as water, electricity or rates ("Utility Bill"); • current signed lease or rental agreement reflecting that you are the tenant; • bank statement; • municipal rates and taxes invoice; • valid television licence document; • mortgage bond statement; • land-line or cellular telephone account; • official tax return/tax assessment (less than 1 year old); • correspondence from a body corporate or shareblock association reflecting you as the owner/tenant/occupant; • payslip or salary advice; or • letter from a tribal chief where you live. <p>If the Black Person is a Minor and the proof of residential address is in the name of the parent or guardian, the parent or guardian must provide a declaration stating that the Minor is residing at the relevant address.</p>

3. Additional Supporting Documents required depending on transaction method chosen

All Black People must submit the following Supporting Documents, depending on the selected method of transacting:

Method of transacting	Required documents
Standard Trading Process	<p>If you have not previously successfully registered to trade on the YeboYethu OTC Platform then we will need to complete a FICA process with you before we can provide you with a trading service.</p> <p>It is important to take note of the requirements and instructions at the top of each form to ensure all supporting documentation is provided and the Form is completed accurately. This will avoid unnecessary delays.</p>
Own-Broker Trading Process	<ul style="list-style-type: none"> • The original completed and properly signed YeboYethu BEE Contract (a copy of which is available on the Shareholder Platform Website or can be requested from the Call Centre) with each of the Relevant Market Participants. • A certified copy of the completed and properly signed contracts which you have concluded with the Relevant Market Participants identified in the YeboYethu BEE Contract (which must be approved by YeboYethu).
Off-Market Transfers Process	<p>Should you wish to do an Off-Market Transfer (i.e. a purchase, sale or transfer other than through the Own-Broker Trading Process) please contact the Call Centre for guidance on the BEE Verification process and the Supporting Documents required for purposes of such Off-Market Transfer.</p>

4. Change of details process (Black People)

The Black Person must provide the following Supporting Documents:

To change banking details	<p>The Change of Details Form (Black People) must be stamped by the Black Person's bank verifying its transactional bank account details, alternatively you must provide a bank stamped bank statement not older than 3 months or a letter from the bank (signed and stamped) confirming the Black Person's bank details.</p> <p>Provide a certified copy of the Black Person's green bar-coded South African identity document, the South African smart identity card or valid passport reflecting his/her South African identity number.</p>
To change contact details or postal address details	<p>Provide a certified copy of the Black Person's green bar-coded South African identity document, the South African smart identity card or valid passport reflecting his/her South African identity number.</p>
To change residential address details	<p>Provide a certified copy of any one of the following documents reflecting the Black Person's name and residential address and unless otherwise stated, these documents must be less than 3 months old:</p> <ul style="list-style-type: none"> • utility bill, such as water, electricity or rates ("Utility Bill"); • current signed lease or rental agreement reflecting that you are the tenant; • bank statement; • municipal rates and taxes invoice; • valid television licence document; • mortgage bond statement; • land-line or cellular telephone account; • official tax return/tax assessment (less than 1 year old); • correspondence from a body corporate or shareblock association reflecting you as the owner/tenant/occupant; • payslip or salary advice; or • a letter from a tribal chief where you live. <p>If the Black Person is a Minor and the proof of residential address is in the name of the parent or guardian, the parent or guardian must provide a declaration stating that the Minor is residing at the relevant address.</p> <p>Provide a certified copy of the Black Person's green bar-coded South African identity document, the South African smart identity card or valid passport reflecting his/her South African identity number.</p>
<p>NB: only one certified copy of the Black Person's green bar-coded South African identity document, the South African smart identity card or valid passport reflecting his/her South African identity number is required per Change of Details Form.</p>	

5. Representative capacity

If signing the Application Form, Verification Form or Change of Details Form in a representative capacity, the following Supporting Documents must also be provided by the person signing the applicable form:

Power of attorney	<p>To sign under a power of attorney, the following must be provided:</p> <ul style="list-style-type: none"> • the power or attorney together with a certified copy of the Black Person's identity document; and • a certified copy of the representative's Utility Bill. <p>Where the representative is an attorney or an institution, the representative's letterhead in order to verify the representative's physical address. Alternatively a Utility Bill reflecting the representative's name and residential address which is less than 3 months old.</p>
Minors	<ul style="list-style-type: none"> • Minors must be assisted by their parents or guardians. Minors must submit their identity document. The parent or guardian must also submit their identity document. • In the case of a legal guardian a certified copy of the Letters of Guardianship.
Joint holding	<p>Where the holding is in more than one name, the signature of the first mentioned YeboYethu shareholder and a certified copy of his/her green bar-coded South African identity document, the South African smart identity card or valid passport reflecting his/her South African identity number.</p>

Deceased YeboYethu shareholders	If signing the Change of Details Form, this must be signed by the executor/s of the deceased estate. If you have not already done so, please provide a certified copy of the Letters of Executorship together with a certified copy of the executor's green bar-coded South African identity document, the South African smart identity card or valid passport reflecting the executor's South African identity number.
YeboYethu shareholder under curatorship	If signing the Change of Details Form, this must be signed by the curator appointed by the Master of the High Court. If you have not already done so, please provide a certified copy of the Letters of Curatorship together with a certified copy of the curator's green bar-coded South African identity document, the South African smart identity card or valid passport reflecting the Curator's South African identity number.
YeboYethu shareholder under liquidation	If signing the Change of Details Form, this must be signed by the liquidator appointed by the Master of the High Court. If you have not already done so, please provide a certified copy of your Letter of Appointment together with a certified copy of the liquidator's green bar-coded South African identity document, the South African smart identity card or valid passport reflecting his/her South African identity number.

C. **BLACK GROUPS**

- If you are an Applicant (do not already own Shares, and you are applying to confirm whether you are an Eligible Person), refer to paragraph 1 below. Then proceed to the BEE Verification process in paragraph 2 below. Then, depending on the method of transacting chosen, you will be required to submit the Supporting Documents in paragraph 3 below.
- If you already hold Shares, refer to paragraph 2 below. Then, depending on the method of transacting chosen, you will be required to submit the Supporting Documents in paragraph 3 below.
- If you already hold Shares and wish to change the details that we have for you, refer to paragraph 4 below. PLEASE NOTE: if you are signing the Change of Details Form in a representative capacity (ie if the entity is a joint holding or where a YeboYethu shareholder is under liquidation or business rescue), please refer to 5B below.

1. **Application Process (Black Groups)**

All Black Groups are required to submit the following Supporting Documents and comply with the following requirements:

- A BEE Ownership Certificate (subject to the conditions below) or alternatively, BEE Ownership Document;
- A valid authorising resolution;
- Proof of the Black Group's physical address, as set out below;
- The identity document of the Authorised Representative; and
- Proof of the physical address of the Authorised Representative as set out below.

In addition to the above, different types of Black Groups will need to submit certified copies of the corresponding Supporting Documents listed below:

Entity type	Required documents
Companies (Note: References to "CoR" refer to the documents as per the Companies Act whereas references to "CM" refer to documents as per the Companies Act 61 of 1973, which may still be applicable to Black Companies incorporated prior to 1 May 2010 (being the effective date of the Companies Act.)	<ul style="list-style-type: none"> • Certificate of Confirmation issued by CIPC. • Registration certificate (CoR14.3) / Certificate of incorporation (CM1). • Amended registration certificate reflecting the change of name (CoR14.3) / Notice of amendment of Memorandum of Incorporation (CoR15.2) / Certificate of change of name of company (CM9). • Notice of change of registered office (CoR21) / Notice of registered office and postal address of company (CM22). • Notice of change of directors (CoR 39) / Contents of register of directors and officers (CM29). • Securities register. • A valid authorising resolution. • For each individual shareholder who holds more than 25% of the voting rights of the company: a certified copy of (i) identity document (if a South African national) or (ii) a valid passport (if a foreign national). • For each company or close corporation shareholder who holds more than 25% of the voting rights of the company: a certified copy of the registration certificate

	<p>(CoR14.3) / certificate of incorporation (CM1) (if a company), Notice of change of registered office (CoR21) / Notice of registered office and postal address of company (CM22) (if a company), Founding statement (CK1) (if a close corporation) and a utility bill, such as water, electricity or rates to verify the trade name and physical address of such company or close corporation shareholder.</p> <ul style="list-style-type: none"> • For each other legal entity shareholder who holds more than 25% of the voting rights of the company: a certified copy of the constitutional documents of such other legal entity shareholder. • For each trust shareholder who holds more than 25% of the voting rights of the company: a certified copy of the trust deed and the letters of authority issued by the Master of the High Court. • Physical address and contact particulars for each shareholder who holds more than 25 % of the voting rights of the company.
Trusts	<ul style="list-style-type: none"> • Trust deed or other founding document, as currently in force. • Letters of authority issued by the Master of the High Court in respect of the current trustees. • Certified copies of the identity documents for all the current trustees.
Partnerships	<ul style="list-style-type: none"> • Partnership agreement, as currently in force. • A valid authorising resolution.
Close Corporations	<ul style="list-style-type: none"> • Founding statement and certificate of incorporation (CK1), as currently in force. • Amended founding statement (CK2), if applicable, as currently in force. • A valid authorising resolution. • For each individual shareholder who holds more than 25% of the voting rights of the company: a certified copy of (i) the identity document (if a South African national) or (ii) a valid passport (if a foreign national). • For each company or close corporation shareholder who holds more than 25% of the voting rights of the company: a certified copy of the registration certificate (CoR14.3) / certificate of incorporation (CM1) (if a company), Notice of change of registered office (CoR21) / Notice of registered office and postal address of company (CM22) (if a company), Founding statement (CK1) (if a close corporation) and a utility bill, such as water, electricity or rates to verify the trade name and physical address of such company or close corporation shareholder. • For each other legal entity shareholder who holds more than 25% of the voting rights of the company: a certified copy of the constitutional documents of such other legal entity shareholder. • For each trust shareholder who holds more than 25% of the voting rights of the company: a certified copy of the trust deed and the letters of authority issued by the Master of the High Court. • Physical address and contact particulars for each shareholder who holds more than 25 % of the voting rights of the company.
Black Entities such as Stokvels	<ul style="list-style-type: none"> • Constitutional documents, founding documents or other such documents, as currently in force. • Any rules or regulations governing the operation and management of the Black Entity. • A valid authorising resolution. • Certified copies of the identity document (if a South African national) or a valid passport (if a foreign national) of the representatives of the governing body (if any) of the Black Entity.
Proof of physical address in respect of the Black Group and the Authorised Representative	<p>Provide the original or a certified copy of any one of the following documents reflecting the Black Group or the Authorised Representative's (as the case may be) name and physical address and unless otherwise stated, these documents must be less than 3 months old:</p> <ul style="list-style-type: none"> • Utility Bill; • current signed lease or rental agreement reflecting the Black Group or the Authorised Representative as the tenant; • bank statement; • municipal rates and taxes invoice; • valid television licence document; • mortgage bond statement; • land-line or cellular telephone account; • official tax return/tax assessment (less than 1 year old); • correspondence from a body corporate or shareblock association reflecting the Black Group or the Authorised Representative as the owner/tenant/occupant;

	<ul style="list-style-type: none"> • payslip or salary advice; or • a letter from a tribal chief where the Black Group is situated or where the Authorised Representative lives. <p>If none of the above are applicable or available in respect of a Black Group, a copy of a letterhead of the Black Group showing its operating address.</p>
<p>Obtaining a BEE Ownership Certificate</p>	<p>An existing BEE Ownership Certificate may be submitted provided that:</p> <ul style="list-style-type: none"> • it was obtained from a South African National Accreditation System or the Independent Regulatory Board of Auditors approved BEE Verification Agency; • it shows that at least 50.1% of the Effective Interest of the Black Group is owned by Black People or Black Groups; • specifically makes reference to the Flow-Through Principle (as defined in the BEE Codes) having been utilised in the determination of the BEE Status of the Black Group; • it is not older than 12 months; • it contains the following information as defined in the Codes: <ul style="list-style-type: none"> ○ % voting rights of Black People; ○ % voting rights of Black women (if known); ○ % voting rights of Black new entrants (if known); ○ % economic interest of Black People; ○ % economic interest of Black women (if known); and ○ % economic interest of Black new entrants (if known); <p>It may also contain the following information as defined in the BEE Codes:</p> <ul style="list-style-type: none"> • % economic interest of black designated groups; • % economic interest of employee ownership schemes, broad-based ownership schemes and co-operatives; and • the net value for all Black People. <p>The Black Group must also submit written confirmation, in the form of a written declaration signed by the relevant signatory/ies, that its ownership structure has not changed after obtaining the existing BEE Ownership Certificate and that the information recorded therein remains true and correct in all material respects.</p>

2. BEE Verification process (Black Groups)

All Black Groups are required to submit the following Supporting Documents and comply with the following requirements:

- A BEE Ownership Certificate (subject to the conditions below) or alternatively, BEE Ownership Documents;
- A valid authorising resolution;
- Proof of the Black Group's physical address, as set out below;
- The identity document of the Authorised Representative; and
- Proof of the physical address of the Authorised Representative as set out below.

In addition to the above, different types of Black Groups will need to submit certified copies of the corresponding Supporting Documents listed below:

Entity type	Required documents
<p>Companies</p> <p>(Note: References to "CoR" refer to the documents as per the Companies Act whereas references to "CM" refer to documents as per the Companies Act 61 of 1973, which may still be applicable to Black Companies incorporated prior to 1 May 2010 (being the effective date of the Companies Act.)</p>	<ul style="list-style-type: none"> • Certificate of Confirmation issued by CIPC. • Registration certificate (CoR14.3) / Certificate of incorporation (CM1). • Amended registration certificate reflecting the change of name (CoR14.3) / Notice of amendment of Memorandum of Incorporation (CoR15.2) / Certificate of change of name of company (CM9). • Notice of change of registered office (CoR21) / Notice of registered office and postal address of company (CM22). • Notice of change of directors (CoR 39) / Contents of register of directors and officers (CM29). • Securities register. • A valid authorising resolution. • For each individual shareholder who holds more than 25% of the voting rights of the company: a certified copy of (i) identity document (if a South African national) or (ii) a valid passport (if a foreign national). • For each company or close corporation shareholder who holds more than 25% of the voting rights of the company: a certified copy of the registration certificate (CoR14.3) / certificate of incorporation (CM1) (if a company), Notice of change

	<p>of registered office (CoR21) / Notice of registered office and postal address of company (CM22) (if a company), Founding statement (CK1) (if a close corporation) and a utility bill, such as water, electricity or rates to verify the trade name and physical address of such company or close corporation shareholder.</p> <ul style="list-style-type: none"> • For each other legal entity shareholder who holds more than 25% of the voting rights of the company: a certified copy of the constitutional documents of such other legal entity shareholder. • For each trust shareholder who holds more than 25% of the voting rights of the company: a certified copy of the trust deed and the letters of authority issued by the Master of the High Court. • Physical address and contact particulars for each shareholder who holds more than 25 % of the voting rights of the company.
Trusts	<ul style="list-style-type: none"> • Trust deed or other founding document, as currently in force. • Letters of authority issued by the Master of the High Court in respect of the current trustees. • Certified copies of the identity documents for all the current trustees.
Partnerships	<ul style="list-style-type: none"> • Partnership agreement, as currently in force. • A valid authorising resolution.
Close Corporations	<ul style="list-style-type: none"> • Founding statement and certificate of incorporation (CK1), as currently in force. • Amended founding statement (CK2), if applicable, as currently in force. • A valid authorising resolution. • For each individual shareholder who holds more than 25% of the voting rights of the company: a certified copy of (i) the identity document (if a South African national) or (ii) a valid passport (if a foreign national). • For each company or close corporation shareholder who holds more than 25% of the voting rights of the company: a certified copy of the registration certificate (CoR14.3) / certificate of incorporation (CM1) (if a company), Notice of change of registered office (CoR21) / Notice of registered office and postal address of company (CM22) (if a company), Founding statement (CK1) (if a close corporation) and a utility bill, such as water, electricity or rates to verify the trade name and physical address of such company or close corporation shareholder. • For each other legal entity shareholder who holds more than 25% of the voting rights of the company: a certified copy of the constitutional documents of such other legal entity shareholder. • For each trust shareholder who holds more than 25% of the voting rights of the company: a certified copy of the trust deed and the letters of authority issued by the Master of the High Court. • Physical address and contact particulars for each shareholder who holds more than 25 % of the voting rights of the company.
Black Entities such as Stokvels	<ul style="list-style-type: none"> • Constitutional documents, founding documents or other such documents, as currently in force. • Any rules or regulations governing the operation and management of the Black Entity. • A valid authorising resolution. • Certified copies of the identity document (if a South African national) or a valid passport (if a foreign national) of the representatives of the governing body (if any) of the Black Entity.
Proof of physical address in respect of the Black Group and the Authorised Representative	<p>Provide the original or a certified copy of any one of the following documents reflecting the Black Group or the Authorised Representative's (as the case may be) name and physical address and unless otherwise stated, these documents must be less than 3 months old:</p> <ul style="list-style-type: none"> • Utility Bill; • current signed lease or rental agreement reflecting the Black Group or the Authorised Representative as the tenant; • bank statement; • municipal rates and taxes invoice; • valid television licence document; • mortgage bond statement; • land-line or cellular telephone account; • official tax return/tax assessment (less than 1 year old); • correspondence from a body corporate or shareblock association reflecting the Black Group or the Authorised Representative as the owner/tenant/occupant; • payslip or salary advice; or

	<ul style="list-style-type: none"> a letter from a tribal chief where the Black Group is situated or where the Authorised Representative live. <p>If none of the above are applicable or available in respect of a Black Group, a copy of a letterhead of the Black Group showing its operating address.</p>
Obtaining a BEE Ownership Certificate	<p>An existing BEE Ownership Certificate may be submitted provided that:</p> <ul style="list-style-type: none"> it was obtained from a South African National Accreditation System or Independent Regulatory Board of Auditors approved BEE Verification Agency; it shows that at least 50.1% of the Effective Interest of the Black Group is owned by Black People or Black Groups; specifically makes reference to the Flow-Through Principle (as defined in the BEE Codes) having been utilised in the determination of the BEE Status of the Black Group; it is not older than 12 months; it contains the following information as defined in the Codes: <ul style="list-style-type: none"> % voting rights of Black People; % voting rights of Black women (if known); % voting rights of Black new entrants (if known); % economic interest of Black People; % economic interest of Black women (if known); and % economic interest of Black new entrants (if known); <p>It may also contain the following information as defined in the BEE Codes:</p> <ul style="list-style-type: none"> % economic interest of black designated groups; % economic interest of employee ownership schemes, broad-based ownership schemes and co-operatives; and the net value for all Black People. <p>The Black Group must also submit written confirmation, in the form of a written declaration signed by the relevant signatory/ies, that its ownership structure has not changed after obtaining the existing BEE Ownership Certificate and that the information recorded therein remains true and correct in all material respects.</p>

3. Additional documents required depending on transaction method chosen

All Black Groups must submit the following Supporting Documents, depending on the selected method of transacting:

Method of transacting	Required documents
Standard Trading Process	<ul style="list-style-type: none"> A certified copy of the completed and properly signed Trading Entity Mandate (a copy of which is available on the Shareholder Platform Website or can be requested from the Call Centre). A certified copy of the completed and properly signed Custody Entity Mandate (a copy of which is available on the Shareholder Platform Website or can be requested from the Call Centre). If you have provided your bank account details above, provide details of the Black Group's transactional bank account into which the Trading Entity and/or the Custody Entity (when using the Standard Trading Process) may pay purchase prices, dividends and any other payments. If you have provided your bank account details above, obtain a stamp on the Verification Form from the Black Group's bank verifying the transactional bank account details.
Own-Broker Trading Process	<ul style="list-style-type: none"> The original completed and properly signed YeboYethu BEE Contract (a copy of which is available on the Shareholder Platform Website or can be requested from the Call Centre) with each of the Relevant Market Participants. A certified copy of the completed and properly signed contracts which you have concluded with the Relevant Market Participants identified in the YeboYethu BEE Contract (which must be approved by YeboYethu).
Off-Market Transfers Process	Should you wish to do an Off-Market Transfer (i.e. a purchase, sale or transfer other than through the Own-Broker Trading Process) please contact the Call Centre for guidance on the BEE Verification process and the Supporting Documents required for purposes of such Off-Market Transfer.

4. Change of details process (Black Groups)

The Black Group must provide the following Supporting Documents:

To change banking details	The Change of Details Form must be stamped above by the Black Group's bank verifying its transactional bank account details, alternatively you must provide a bank stamped bank statement not older than 3 months or a letter from the bank (signed and stamped) confirming the Black Group's bank details.
To change physical address details	<p>Provide the original or a certified copy of any one of the following documents reflecting the Black Group's name and physical address and unless otherwise stated, these documents must be less than 3 months old:</p> <ul style="list-style-type: none"> • utility bill, such as water, electricity or rates; • current signed lease or rental agreement reflecting the Black Group as the tenant; • bank statement; • municipal rates and taxes invoice; • valid television licence document; • mortgage bond statement; • land-line or cellular telephone account; • official tax return/tax assessment (less than 1 year old); • correspondence from a body corporate or shareblock association reflecting the Black Group as the owner/tenant/occupant; • payslip or salary advice; or • a letter from a tribal chief where the Black Group is situated. <p>If none of the above are applicable or available in respect of a Black Group, a copy of a letterhead of the Black Group showing its operating address.</p>
To change BEE Status	<p>Provide any one of the following documents:</p> <ol style="list-style-type: none"> 1. BEE Ownership Documents; 2. Provide certified copy of current BEE Ownership Certificate; or 3. An existing BEE Ownership Certificate may be submitted provided that: <ul style="list-style-type: none"> • It was obtained from a Valid BEE Verification Agency. • It is not older than 12 months. • It contains the following information as defined in the Codes: <ul style="list-style-type: none"> ○ % voting rights of Black People. ○ % voting rights of Black women (if known). ○ % voting rights of Black new entrants (if known). ○ % economic interest of Black People. ○ % economic interest of Black women (if known). ○ % economic interest of Black new entrants (if known). <p>It may also contain the following information as defined in the Codes:</p> <ul style="list-style-type: none"> • % economic interest of black designated groups. • % economic interest of employee ownership schemes, broad-based ownership schemes and co-operatives. • the net value for all Black People. <p>The Black Group must also submit written confirmation, in the form of a written declaration signed by the relevant signatory/ies, that its ownership structure has not changed after obtaining the existing BEE Ownership Certificate and that the information recorded therein remains true and correct in all material respects.</p>
To change the Authorised Representative details	<ul style="list-style-type: none"> • A certified copy of the Authorised Representative's green bar-coded South African identity document, South African smart identity card or valid passport reflecting the Authorised Representative's South African identity number. • Authorising Resolution (attached as Schedule 1 to the Change of Details Form). • Proof of the Authorised Representative's residential address (refer to section on changing physical address details above for list of relevant documents which apply equally to the Authorised Representative).

4A. Representative capacity in a joint holding or a where a YeboYethu shareholder is under liquidation or business rescue

If the Change of Details Form will be signed in a representative capacity, you must comply with the following and provide the following additional Supporting Documents:

Joint holding:	Where the holding is in more than one name, the signature of the first mentioned YeboYethu shareholder is required.
YeboYethu shareholder under liquidation or business rescue	The Change of Details Form must be signed by the liquidator appointed by the Master of the High Court or business rescue practitioner. If you have not already done so, please provide a certified copy of your Letter of Appointment.